



## WORKING PAPER

# Development of a Methodology for Land Cover Classification in Dar es Salaam using SPOT Imagery

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Adapting to Climate Change in Coastal Dar es Salaam Project

Ref. EC Grant Contract No 2010/254-773

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## Acronyms and Abbreviations

ACC Dar – Adapting to Climate Change in Coastal Dar es Salaam  
CC – Climate Change  
CNES – Centre National d'Études Spatiales  
DN – Digital Number  
DOS – Dark Object Subtraction  
ESA – European Space Agency  
ETM+ – Enhanced Thematic Mapper Plus  
FTP – File Transfer Protocol  
GCP – Ground Control Points  
GIS – Geographic Information System  
GPS – Global Positioning System  
HRG – High Resolution Geometric  
HRVIR – High-Resolution Visible and Infrared  
LC – Land Cover  
LCC – Land Cover Change  
LMI – Landscape Metrics Indices  
LU – Land Use  
ML – Maximum Likelihood  
NDVI – Normalized Difference Vegetation Index  
NASA – National Aeronautics and Space Administration  
NIR – Near Infrared  
SPOT – Satellite Pour l'Observation de la Terre  
TM – Thematic Mapper  
UDEM – Urban Development and Environment Management  
USGS – United States Geological Survey

## Glossary

Georeferencing – The process of image registration to a map coordinate system, in order to have every pixel addressable in terms of east and north, or latitude and longitude (Richards & Jia, 2006).

Land Cover – The “physical material at the surface of the earth. It is the material that we see and which directly interacts with electromagnetic radiation and causes the level of reflected energy that we observe as the tone or the digital number at a location in an aerial photograph or satellite image. Land covers include grass, asphalt, trees, bare ground, water, etc.” (Fisher, et al., 2005, p. 89).

Land Cover Change – The detection of changes in Land Cover, usually analysing multitemporal data; in remote sensing, Land Cover Change will result in changes in reflectance values (Lu, et al., 2011).

Land Use – The “description of how people use the land. Urban and agricultural land uses are two of the most commonly recognised high-level classes of use. Institutional land, sports grounds, residential land, etc. are also all land uses” (Fisher, et al., 2005, p. 89).

Radiance – The “flux of energy (primarily irradiant or incident energy) per solid angle leaving a unit surface area in a given direction”, “Radiance is what is measured at the sensor and is somewhat dependent on reflectance” (NASA, 2011, p. 47).

Reflectance – The “ratio of reflected versus total power energy” (NASA, 2011, p. 47).

Remote Sensing – The measurement of the energy emanating from the earth’s surface, using a sensor mounted on an aircraft or spacecraft platform, in order to obtain an image of the landscape beneath the platform (Richards & Jia, 2006).

Urban Sprawl – The unplanned, low-density urban expansion, characterized by a mix of land uses on the urban fringe (EEA, 2006).

Vulnerability – The “degree to which a system is susceptible to, or unable to cope with, adverse effects of climate change, including climate variability and extremes” (IPCC, 2001, p. 21).

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## Foreword

This working paper presents a Land Cover (LC) classification methodology developed for assessing Land Cover Changes (LCC) contribution to urban vulnerability to Climate Change (CC), in coastal Dar es Salaam (Tanzania).

The built-up area of Dar es Salaam rapidly grew over the last decades, as consequence of its population growth. Informal peri-urban settlements are continuously growing especially at the fringe. That urban development causes several impacts on the environment, and consequently affects people vulnerability.

This study is part of the “Adapting to Climate Change in Coastal Dar es Salaam” (ACC Dar) project. The study consist of the development of methodologies for monitoring spatial changes through Remote sensing and Geographic Information System (GIS) techniques, which should be tailored to the needs and resources of Dar City Council’s planning services.

The main objective of the developed methodology is to monitor LCC in Dar’s peri-urban interface, in order to increase the knowledge about peri-urban dynamics. The very fast LCC are related to CC effects, in terms of sensitivity and exposure of people living in Dar, whose livelihood relies on natural resources.

The developed methodology aims to increase adaptive capacity, in particular of Dar’s municipalities, and therefore to reduce vulnerability to CC. LCC monitoring methodology has to be very affordable and easily updatable by municipalities. Dar’s municipalities, using this methodology, could adjust their plans according to the changes of LC. Particularly, implementing the methodology in a flexible planning framework could make spatial planning more effective in reducing vulnerability, and facilitating autonomous adaptation of people.

LCC monitoring can be performed through several techniques. In this study, remote sensing is used because it allows for mapping and assessing LCC, in a very rapid way. Particularly, SPOT imagery was used, because of the spatial resolution and the cost effectiveness. In fact SPOT imagery is provided for free by the European Space Agency (ESA).

This study provides a methodology of semi-automatic supervised classification for the production of LC maps of Dar es Salaam. A workflow was developed for the preprocessing and processing of SPOT images. Moreover, this ACC Dar Activity developed a methodology for LC mapping through Landsat images (provided for free by the United States Geological Survey), which is described in another working paper.

The workflows of the two developed methodology are very similar, in order to provide flexible tools for LC classifications, and not to rely on individual satellite imagery, which is useful considering the advent of new satellites in the near future.

In particular, the workflow steps comprise the preprocessing of SPOT images (atmospheric effects correction, cloud cover removal, and image mosaic), and the processing of mosaics (vegetation index calculation, supervised classification, and Knowledge-Base classification).

This ACC Dar Activity also developed a methodology for the analysis of spatial patterns, through the use of Landscape Metrics Indices, which is described in another working paper.

## Executive Summary

This study is part of 2.1 activity of the “Adapting to Climate Change in Coastal Dar es Salaam” (ACC Dar) project. This study aims to develop a LC classification methodology, using remote sensing imagery and GIS techniques, for monitoring urban sprawl and LCC of Dar es Salaam over the years.

The Municipality of Dar es Salaam, is located in the east of Tanzania, covering an area of 1 800km<sup>2</sup> on the coast of the Indian Ocean.

Dar’s population is rapidly growing since the 1980’s, and consequently also the built-up area of the city is expanding. Particularly, informal peri-urban settlements growing at the fringe cause the sprawl phenomenon. Urban sprawl is defined as the unplanned, low-density expansion characterized by a mix of land uses (EEA, 2006).

That informal urbanization is partially caused by the type of regulatory framework, whose administrative procedures lengthen the time to make land available to people (Kironde, 2006).

LCC is caused by the interactions of many drivers. Particularly, in East Africa there are several driving forces related to LCC, which can be demographic (e.g. birth rate, migration, etc.); social (e.g. cooperation); cultural (e.g. land tenure system); economic (e.g. agricultural costs); and environmental (climate, rainfall variability, soil and groundwater degradation, etc.) (Olson, et al., 2004).

The relationship between CC and local LCC thereof is very complex and uncertain (e.g. sequestration of carbon in vegetation and soil) (Lioubimtseva, et al., 2005), especially considering that CC is affected by many variables related to environmental resources and socio-political situation which can increase people vulnerability (Lioubimtseva & Henebry, 2009).

Vulnerability to CC is a function of the sensitivity, the exposure to climatic hazards and the adaptive capacity (IPCC, 2001).

Unplanned settlements and the LCC thereof, have environmental consequences that can increase vulnerability to CC effects, especially for people who rely on natural resources for their livelihood (Paavola, 2008). People tend to autonomously adapt to environmental changes for example with social organization in local informal institutions (Rodima-Taylor, 2012), migrating, or changing their livelihood determining the patterns and dynamics of LC (Olson, et al., 2008), but that autonomous adaptation can in turn have severe consequences on the ecosystem (Metzger, et al., 2006).

Therefore, LC monitoring is a fundamental source of information for improving knowledge of various drivers related to people vulnerability. Particularly, LC monitoring can provide effective tools to Dar’s administrations, for flexible and participatory planning processes (Halla, 2005; Sales Jr., 2009).

LC monitoring could improve spatial planning in adopting short-term decisions for reducing vulnerability, especially if the planning framework is adequately flexible and consider uncertainty in long-term decisions (Hallegatte, 2009). Therefore, it could be possible to coordinate all levels of institutions, especially for coastal areas, and create policies of adaptation to CC, which could be structural (e.g. seawalls, levees), and non-structural (e.g. land use planning, insurance) (Levina, et al., 2007).

This study aims to reduce people vulnerability to CC, by developing a LC monitoring methodology, which should increase adaptive capacity of Dar’s administrations. This methodology should facilitate the integration of adaptation activities into strategies and plans for Urban Development and Environment Management (UDEM), in coastal unplanned and underserved settlements.

The developed methodology must be suitable to needs and resources of Dar’s municipalities, and consequently must be very affordable. It must be easy and quick to update, according to the pace of growth of the city.

LCC can be monitored in several fashions, using ground measurements (e.g. field surveys using GPS) or remote sensing data (Weng, 2012).

Remote sensing and Geographic Information System (GIS) are useful tools for understanding and monitoring spatial changes (Campagna, 2006; Chen, 2008). Particularly, considering the methodology requirements, LCC mapping can be performed through semi-automatic classification of multispectral satellite images (Fan, et al., 2007).

Semi-automatic classification of remote sensing images is a method of features identification, through the ability of categorizing pixels, based upon their numerical properties (spectral characteristics) (Richards & Jia, 2006). That classification technique has lower effort than photo-interpretation, and can be applied to large areas, like the Dar Region.

Adapting to Climate Change in Coastal Dar es Salaam Project

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Working paper: Development of a Methodology for Land Cover Classification in Dar es Salaam using SPOT Imagery

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This Activity developed two similar methodologies for semi-automatic LC classification, using two different satellite sources (Landsat and SPOT), with different resolutions. Moreover, another methodology was developed in order to assess LC classification accuracy. Another methodology was developed for assessing LC fragmentation and measure landscape patterns. Those methodologies are described in as many working papers.

This paper presents a methodology for semi-automatic classification performed using SPOT images, provided for free by the European Space Agency (ESA). The main advantage of SPOT images is spatial resolution (i.e. 10m), which allows for the identification of finer LC patterns than Landsat images.

A workflow has been designed, in order to perform LC classification, which includes the following steps:

- Image selection;
- Preprocessing;
- Processing.

This workflow is analogue to the one developed for LC classification using Landsat imagery, in order to provide quite similar tools to Dar's administration, which could easily interchange between the two LC classification methodologies.

The first phase of the workflow is image selection, for creating a set of images that can be used for LC classification, excluding images that have high cloud cover.

The preprocessing phase prepares selected images to the classification process, through the georeferencing process and the conversion of pixels from Digital Number (DN) to reflectance.

Atmospheric correction is required for reducing atmospheric disturbance affecting the images. The atmospheric effects correction is performed with a Dark Object Subtraction (DOS) model; therefore, no in situ measurements are needed. At that phase several images are mosaicked in order to cover the whole extent of Dar es Salaam.

Although images with high cloud cover are excluded from classification process, the particular climatic condition of Dar cause the presence of clouds almost in every image. Clouds affect LC classification results; therefore, a step for masking clouds and their shadows is included in the preprocessing phase. The developed methodology includes a semi-automatic and GIS based approach for clouds masking, which relies on semi-automatic image classification and buffer distance from clouds' shadows.

The processing phase produces LC classification maps. Particularly, classification is performed through a semi-automatic algorithm (Maximum Likelihood) and a Knowledge-Base classification. Semi-automatic LC classification has the main advantages to reduce time and cost of LC maps production.

The Maximum Likelihood (ML) algorithm allows for the identification of LC classes through the collection of training areas, which define spectral characteristics of classes (class signatures) (Richards & Jia, 2006). ML is based upon the Gaussian threshold stored in each class signature, and therefore assigns a class to every pixels of the image, according to its statistics (Huang, et al., 2009).

A Knowledge-Base classification is included in the processing phase, for the purpose of improving classification results, especially for vegetation, including the layers of: ML classification; NDVI; and Dar boundary shapefile. The end of the processing phase is the creation of a thematic LC map.

The aim of this study is to increase adaptive capacity of Dar es Salaam's municipalities, by improving their knowledge about LCC. LC maps, produced using the developed methodology, could be integrated in GIS of Dar's municipalities.

A city with rapid urbanization, like is Dar es Salaam, needs LC monitoring to be affordable, rapid, and easy to update. Moreover, updated information about LC could help the regulatory framework to consider environmental issues, in order to make land available to seekers, and avoiding particularly vulnerable areas.

Remote sensing techniques are very useful for assessing landscape patterns, without in situ measurements. This study developed a methodology for LC classification, based on SPOT images. The higher spatial resolution of SPOT, compared to Landsat, implies a higher amount of pixels to be classified for the same area, increasing processing time. Higher spatial resolution allows for finer LC classification that, considering the limited image availability, is convenient for local studies.

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The major problem of the methodology is spectral similarity between white soil and white impervious surfaces, which often can lead to misclassification errors. The spectral resolution of SPOT (4 bands) is very effective for photointerpretation, but is limited for semi-automatic classifications, because it is complicated to distinguish spectrally similar features.

SPOT images, as Landsat ones, are heavily affected by cloud presence, because of meteorological condition of Dar es Salaam. That is probably the main constraint of remote sensing applications in the Dar Region; therefore, future development of this methodology should improve the automatic process for masking clouds, and mosaic several images.

In order to improve affordability of LC classification, this Activity considered only images provided for free (like Landsat and SPOT). Therefore, the only cost of the methodology, excluding the operator work, is the purchase of software for image processing.

Future methodology development could replace the use of commercial software with free open-source alternatives, further reducing map production cost.

The methodology could be adapted, in the near future, to use images of new satellites, which are about to be launched by the ESA and the NASA.

This Activity also developed a methodology for the assessment of Landscape patterns and urban fragmentation (described in another working paper), which should enhance the knowledge of local administrations about environmental issues related to soil sealing and urban sprawl.

The integration of these methodologies could provide effective tools to planning processes for the purpose of timely adapting to environmental changes, thus reducing people vulnerability to CC.

## 1. Introduction, Scope, and Motivation

The study area is the Municipality of Dar es Salaam, which covers an area of 1 800 km<sup>2</sup>. Dar is located in the east of Tanzania (Figure 1), on the coast of the Indian Ocean, between longitudes 39°0' - 33°33' East and latitudes 6°36' - 7°0' South.

The city was established in 1862 as a port and trading centre, and it was the national capital from 1891 to the 1970s. Dar achieved municipal status in 1949, city status in 1961, and is still the centre for the permanent central government bureaucracy of Tanzania (UN-HABITAT, 2009).

Dar has three Districts: Ilala, Temeke and Kinondoni; those Districts, at lower administrative levels, are divided into Divisions and in turn Divisions are divided into Wards (United\_Republic\_of\_Tanzania, 2004).

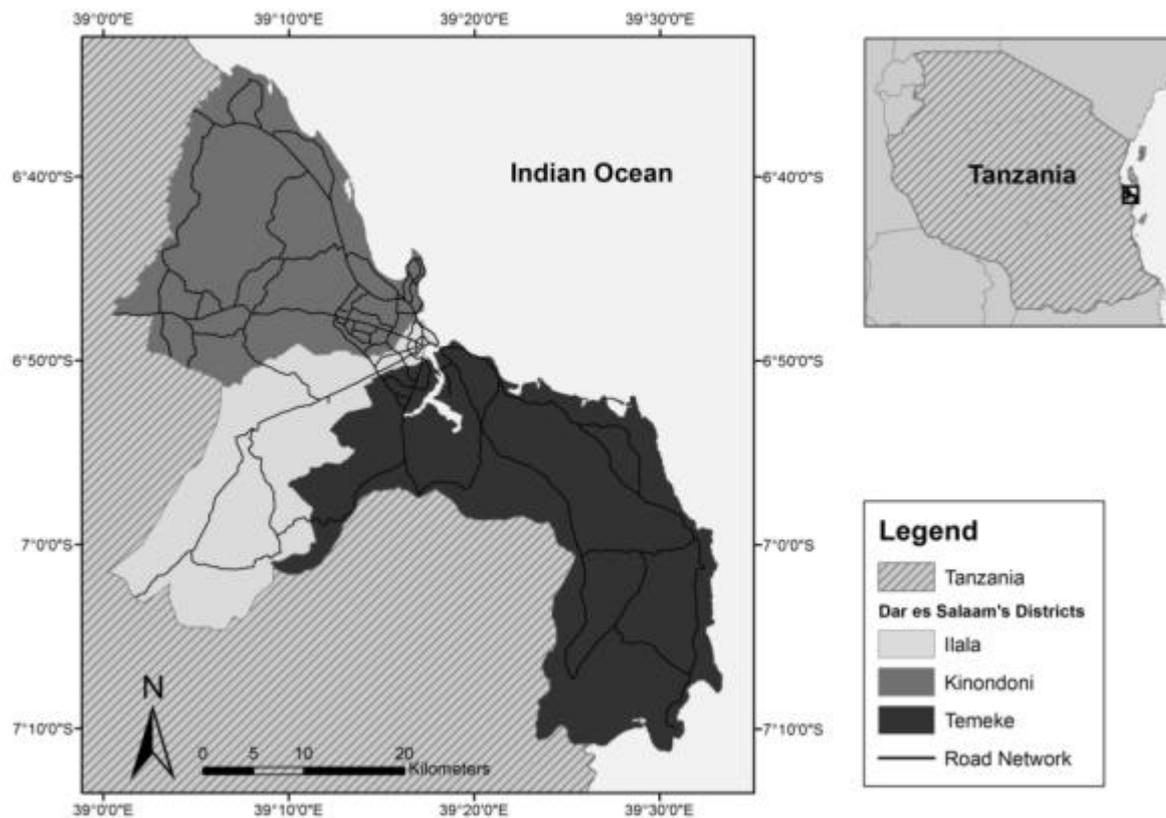


Figure 1: Dar es Salaam's Districts

## 1.1 Background

During the last 20 years, Dar es Salaam's population has grown rapidly, as well as the built-up area of the city. Informal peri-urban settlements are continuously growing, especially at the fringe. That unplanned low-density expansion, characterized by a mix of land uses, is defined as urban sprawl (EEA, 2006).

Since 1967, and particularly during the 1980s, Dar experienced a great development and expansion along arterial roads, because of people's need to reduce travel time to the city centre (Briggs & Mwamfupe, 2000).

During the 1990s, improvements in public and private transportation caused an irregular city expansion, also far from the arterial roads. From 1986 to 2002, Dar's population increased from 1.36 million to 2.5 million (Kironde, 2006).

That population growth is partially due to migration from upcountry. People are attracted by job opportunities in Dar, and therefore they acquire land and build houses in poverty, bypassing formal urban land management. Intra-urban and rural-urban migration is facilitated by the creation of informal social networks, supported by cultural norms (Kombe, 2005). The land regulatory framework is often bypassed, because administrative procedures take too long to seekers (Kironde, 2006).

Those poor settlements lack of services like: electricity; transportation networks (Olvera, et al., 2003); potable water (Kyessi, 2005). Moreover unplanned urbanization causes public health threats, and environmental issues, like soil sealing (impermeabilization of soil surface), which can increase flooding risk (Swan, 2010). Particularly, unplanned LCC and the environmental consequences thereof can increase vulnerability to CC effects, especially for those inhabitants who depend on natural resources for their livelihood (Paavola, 2008).

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## 1.2 Goals and Scope

The overall objective of this study is within the ACC Dar project, to enhance the capacity of Dar's municipalities in understanding CC issues specific to coastal areas, and in assessing their impacts on the livelihood of those urban dwellers partially or totally depending on natural resources.

This study aims to improve the knowledge about LC patterns, for the City Council's planning services, through the development of methodologies for monitoring changes in peri-urban settlements. Those methodologies should facilitate the integration of adaptation activities into strategies and plans for Urban Development and Environment Management (UDEM), in coastal unplanned and underserviced settlements.

The main goal of this study is to develop a methodology for monitoring LC, based on remote sensing and GIS techniques, which must be quick and easy to update, according to the pace of growth of the city. The developed methodology must be suitable to needs and resources of Dar's municipalities, and consequently must be very affordable.

## 1.3 Motivation

LCC is the result of several driving forces that, especially in East Africa, are: demographic (e.g. birth rate, migration, etc.); social (e.g. cooperation); cultural (e.g. land tenure system); economic (e.g. agricultural costs); and environmental (climate, rainfall variability, soil and groundwater degradation, etc.) (Olson, et al., 2004).

LCC monitoring is a fundamental activity for urban planners and decision-makers, but it is often affected by lack of financial (Kironde, 2006).

Dar's inhabitants living in unplanned areas (without services), and whose livelihood relies mainly on natural resources, are the most affected by CC impacts. People tend to autonomously adapt to environmental changes for example with social organization in local informal institutions (Rodima-Taylor, 2012), migrating, or changing their livelihood; therefore, they determine the patterns and dynamics of LC (Olson, et al., 2008), but that autonomous adaptation can in turn have severe consequences on the ecosystem (Metzger, et al., 2006).

The main motivation of this study is to improve the capacity building of Dar's municipalities, providing an affordable methodology for LCC monitoring, which should be tailored to the equipment already available among municipalities, or in any case should require very little effort to upgrade the equipment.

The developed methodology should increase the knowledge about LC, providing effective tools for flexible and participatory planning processes (Halla, 2005; Sales Jr., 2009). Dar's municipalities could adjust their plans according to observed LCC, therefore increasing their adaptive capacity.

Final beneficiaries of the applied methodology will be Dar's inhabitants, especially who live in unplanned areas, reducing their vulnerability to CC. For example, CC related issue of flooding is aggravated by pit-latrines in peri-urban settlements, which can pollute groundwater when flooding occurs, causing epidemics (Paavola, 2003). Monitoring peri-urban settlements provides effective spatial information to planning processes, which consequently can increase adaptive capacity of people to CC.

## 2. Approach and Methods

LCC can be monitored in several ways, using ground measurements (e.g. field surveys using GPS) or remote sensing data (Weng, 2012).

This study aims to develop a methodology for semi-automatic LC classification, using remote sensing imagery. The methodology allows for the monitoring of LCC over the years, providing useful

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information for assessing urban sprawl.

This study is part of the Activity 2.1 of the ACC Dar project. This Activity developed two similar methodologies for semi-automatic LC classification, using two different satellite sources (Landsat and SPOT), with different resolutions. Moreover, another methodology was developed in order to assess LC classification accuracy. Another methodology was developed for assessing LC fragmentation and measure landscape patterns. Those methodologies are described in as many working papers.

This paper presents a methodology for semi-automatic LC classification, which is performed using SPOT images (Figure 2).

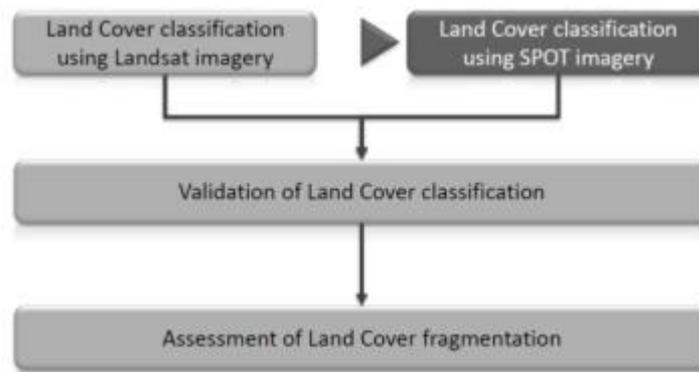


Figure 2: Developed methodologies of Activity 2.1; LC classification using SPOT imagery

## 2.1 Overall Approach

LCC can alter the properties of ecosystems and particularly their vulnerability to CC (IPCC, 2001); as stated by IPCC (2001), Vulnerability is defined as a function of:

- the sensitivity, namely *“the degree to which a system will respond to a given change in climate, including beneficial and harmful effects”*;
- the exposure to climatic hazards;
- the adaptive capacity, namely *“the degree to which adjustments in practices, processes, or structures can moderate or offset the potential for damage or take advantage of opportunities created by a given change in climate”*.

Relations between vulnerability to CC and LCC are shown in Figure 3.

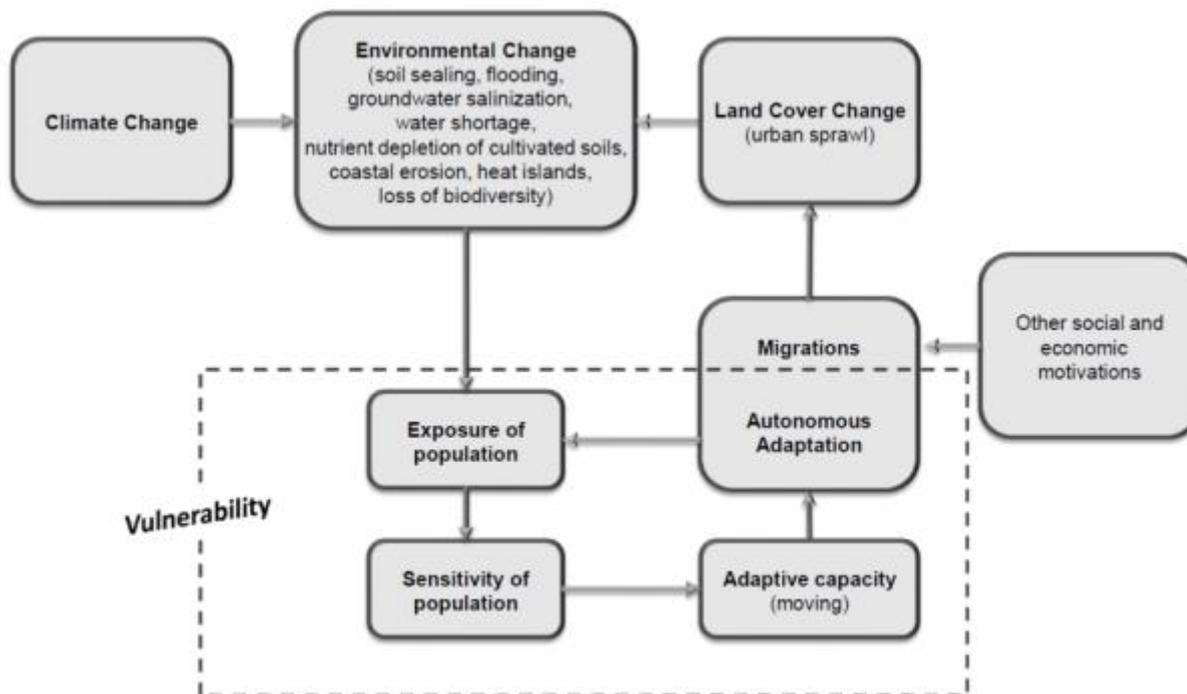


Figure 3: Vulnerability to CC and LCC relation framework

The main aim of this study is to develop a very affordable methodology for LCC monitoring, which should increase adaptive capacity of Dar's municipalities and therefore reduce people vulnerability to CC.

Remote sensing and GIS techniques are very useful for LCC monitoring (Campagna, 2006; Chen, 2008), especially through the semi-automatic classification of multispectral satellite images (Fan, et al., 2007).

The semi-automatic classification of remote sensing images is a method of features identification, through the ability of categorizing pixels, based upon their numerical properties (spectral characteristics). Classification algorithm labels image pixels, depending on their spectral characteristics, allowing for the creation of thematic maps (Richards & Jia, 2006).

The main choice of this study is to use images acquired by SPOT satellites. SPOT system is designed and developed by the French space agency Centre National d'Études Spatiales (CNES) and comprises SPOT 4 and SPOT 5 satellites, both operational (see Appendix 1).

SPOT 5 has the same orbit characteristics of SPOT 4. The imaging swath of each instrument has a width of 60 km (from [http://earth.esa.int/pub/ESA\\_DOC/SPOT/Annex-5-1-2SPOTtechnicaldata.pdf](http://earth.esa.int/pub/ESA_DOC/SPOT/Annex-5-1-2SPOTtechnicaldata.pdf) , accessed 18/05/2012).

SPOT 4 and 5 are multispectral satellites; therefore, it is possible to perform supervised classifications in order to identify materials by their spectral response. They both acquire 4 spectral bands in the ranges of Green, Red, Near-Infrared (NIR) and Short-Wave Infrared (SWIR), with 10m spatial resolution.

The ESA delivers SPOT images for free (some images require an extra-cost) to research studies (see Appendix 1, ESA SPOT Catalogue section). Therefore, SPOT is a valid source for supervised LC classification, considering the spatial and spectral resolution, and especially the affordability of data. However, the low number of spectral bands limits the amount and variety of LC classes that can be identified through supervised classification.

The climatic conditions of Dar es Salaam pose a challenge to usability of remote sensing images. In fact, clouds are present almost the whole year, hiding portions of ground to satellite sensors. That

atmospheric disturbance required a cloud-masking process to be included in the methodology, in order to obtain a cloud-free scene of Dar.

Each LC classification requires three different SPOT images acquired at the same time, because of the spatial extent of Dar es Salaam compared to SPOT swath. Therefore, a mosaic process needed to be included in the methodology.

In order to simplify the LC classification process, and provide flexible tools to Dar's administrations, this study presents a methodology workflow similar to the one described in another working paper of this Activity: "Development of a Methodology for Land Cover Classification in Dar es Salaam using Landsat Imagery".

The classification process produces a LC map, which allows for the assessment of urban patterns, for example through the use of Landscape Metrics Indices, or other spatial analysis.

## **2.2 Data Collection and Analysis Methodology**

SPOT 4 and 5 images are available in the catalogues of ESA's Earth Observation data products.

Images are delivered free of charge to registered users, for research purposes. However, some SPOT images have an extra cost of 400 Euro for data repatriation. The ESA's catalogues are freely accessible via web (see Appendix 1, ESA SPOT Catalogue).

Image request is performed through free software, and imagery is generally available to download within a week, upon email notification.

Images acquired by SPOT 4 or SPOT 5 consist of .TIF files, already georeferenced in the map projection: UTM WGS 84. Each file contains 4 layers (one for each spectral band), having a spatial resolution of 10m.

Analysis methodology is based on the same workflow developed in the ACC Dar project, for LC classification using Landsat imagery.

The workflow includes a preprocessing phase, where images are prepared to the classification process, through the georeferencing and the conversion of DN to reflectance. The need to mosaic several images required for covering the whole extent of Dar es Salaam, is problematic because of the presence of clouds. Moreover, clouds affect LC classification results; therefore, a step for masking clouds and their shadows is included in the preprocessing phase.

The next workflow phase is image processing, particularly through semi-automatic classification (Maximum Likelihood algorithm). It allows for the identification of LC classes through the collection of training areas, which define the spectral characteristics of classes. For improving classification results, a Knowledge-Base classification is included in the processing phase.

## **3. Findings**

The developed methodology requires the following steps:

- a) Image selection;
- b) Preprocessing:
  1. Georeferencing images in order to assign spatial coordinates to pixels;
  2. Creating masks of clouds and shadows, and applying those mask to the SPOT bands in order to exclude pixels belonging to clouds or shadows from LC classification;
  3. Converting the multispectral bands from DN to reflectance, applying atmospheric correction;
  4. Mosaicking temporally different images, in order to obtain a cloud-free and gap-free image;
- c) Processing:
  1. Classifying the image mosaic with Maximum Likelihood (ML) algorithm;
  2. Elaborating vegetation indices, which are useful for the classification of vegetation;
  3. Classifying the ML classification and the vegetation indices through a Knowledge-Base classification.

Figure 4 shows the developed methodology workflow.

Adapting to Climate Change in Coastal Dar es Salaam Project

Ref. EC Grant Contract No 2010/254-773

Working paper: Development of a Methodology for Land Cover Classification in Dar es Salaam using SPOT Imagery

18 May 2012

Congedo Luca, Munafò Michele

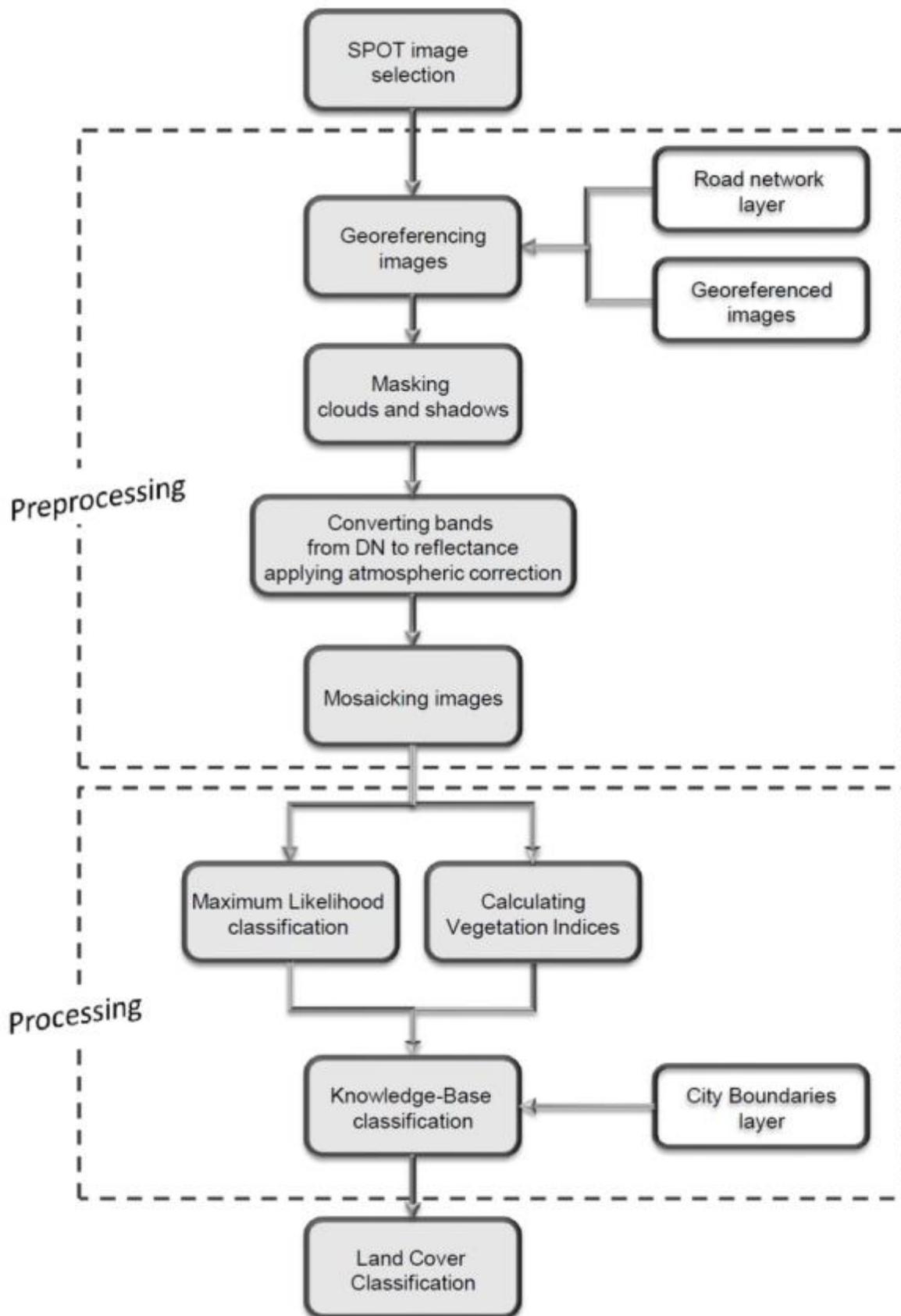


Figure 4: Methodology workflow

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### **3.1 Image Selection**

The first step of the workflow is selection of SPOT images, performed using the “EOLI-SA” software, which is developed by the ESA (see Appendix 1, ESA SPOT Catalogue). Considering the spatial extent of Dar es Salaam, at least three SPOT images, which have to be acquired very close together in time, are required.

The meteorological conditions of Dar es Salaam cause the presence of clouds during the whole year; therefore, it is important to select remote sensing images that have the lowest cloud coverage.

The temporal resolution of SPOT satellites offers limited availability of images with low cloud coverage, especially considering the large spatial extent of the Dar Region.

### **3.2 Preprocessing**

The preprocessing phase involves several required steps before the classification process. It consists of various operations for eliminating, or at least reducing, any possible source of spatial errors (due to coarse georeferencing), and radiometric errors (due to clouds and atmospheric effects).

#### **3.2.1 Georeferencing Images**

Although SPOT images, when delivered, are already georeferenced, their spatial accuracy may be inadequate for mosaic purposes (see Appendix 1, SPOT Preprocessing Levels). Moreover, images need to be spatially coherent with the other GIS layers with higher resolution, produced by Dar’s administrations.

Georeferencing is performed using the shape file of the road net and other images as reference layers. The process requires the identification of pairs of Ground Control Points (GCPs), both in the image and in the reference layers, which have to be spatially distributed over the body of the image (Fisher & Unwin, 2005). The spatial accuracy obtained should be less than 1 pixel (i.e. 10m).

#### **3.2.2 Cloud Cover and Clouds’ Shadow Mask**

SPOT images have four bands only. Differently from Landsat images, the Blue band and the Thermal Infrared band are not present; therefore, it is not possible to identify clouds using the method described in the working paper: “Development of a Methodology for Land Cover Classification in Dar es Salaam using Landsat Imagery”.

As shown in Figure 5, there is high spectral similarity between clouds and urban surfaces, causing the discrimination of those two classes to be very difficult, using automatic classification processes. Clouds’ shadows are also problematic, because they affect pixel radiance.

Therefore, for the above reasons, a workflow step is needed for masking clouds and their shadows in the image.

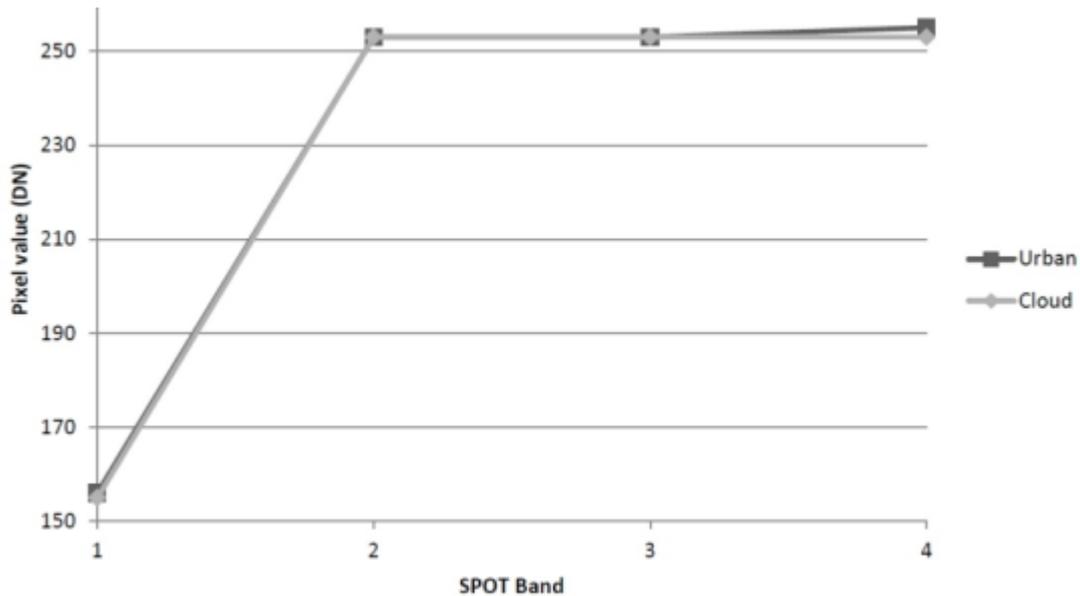


Figure 5: Graph of Urban and Cloud spectral profiles, in a SPOT image

It is possible to create clouds' masks by manually drawing polygons with GIS software, but it is quite a long process, especially considering the amount of clouds generally present over Dar.

In this study, the masking process is performed through a ML classification of clouds and clouds' shadows (see paragraph "3.3.1 Classification Algorithm" for further details about ML classification). Particularly, shadows are well identified in the scene by ML; therefore, while clouds are often misclassified as urban surfaces, clouds' shadows are used to filter real clouds from urban surfaces.

The software programs ERDAS IMAGINE and Esri ArcGIS are used for mask creation, which requires the following steps:

- In IMAGINE:
  - Perform an ML classification, selecting several training areas over clouds' shadows (class 1), and setting a chi-square threshold = 10;
  - Perform an ML classification, selecting several training areas over clouds (class 2), and setting a chi-square threshold = 10;
- In ArcGIS:
  - Import the two ML classifications;
  - Convert the classifications from raster to polygon;
  - Delete the polygons with maximum area from both classifications, which should be the unclassified features (should have "class" field = null), obtaining a "Clouds" layer and a "Shadows" layer;
  - Dissolve the "Shadows" layer, for merging adjacent polygons of the same class (uncheck "create multipart feature"), obtaining a "Shadows dissolved" layer;
  - Dissolve the "Clouds" layer (uncheck "create multipart feature"), obtaining a "Clouds dissolved" layer;
  - Select features with area greater than 5 000m<sup>2</sup> from the "Shadows dissolved" layer (features with lower area should not be clouds' shadows), and save the selection as a new "Shadows mask" layer;
  - Select features with area greater than 5 000m<sup>2</sup> from the "Clouds dissolved" layer, and save the selection as a new layer "Clouds dissolved gt5000";
  - Select features from the "Clouds dissolved gt5000" layer that are within a distance of 1 000m from the "Shadows mask" layer (the distance may vary depending on clouds altitude and topographic relief), and save the selection as a new "Clouds mask" layer;
  - Visually check for residual polygons due to evident clouds misclassifications, and in that case manually delete them;
  - Merge "Clouds mask" layer and "Shadows mask" layer, obtaining the "Merged masks" layer;

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- Create a 10m buffer of the “Merged masks” layer, obtaining the “Final mask” layer (10m is the pixel dimension, therefore the buffer fills single pixel gaps in the mask);
- In IMAGINE:
  - Convert the “Final mask” layer to raster, obtaining an .img file, where masked pixels have value = 0, while elsewhere have value = 1;
  - Apply the mask to every band of the SPOT image, performing for each band a multiplication with the raster mask.

The masking process result is a multispectral file where clouds and their shadows have 0 values. Figure 6 shows an example of the process applied to a SPOT image.

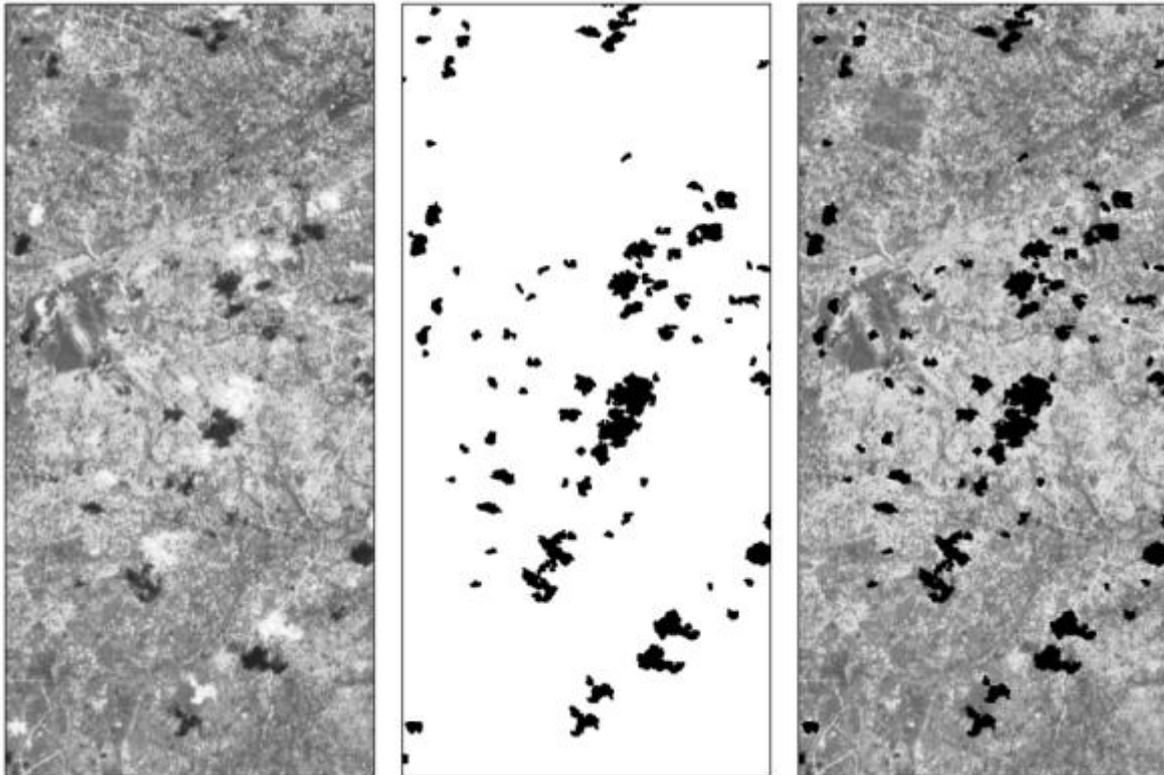


Figure 6: Example of clouds and clouds' shadows masking process: (left) original SPOT image, (centre) clouds and shadows mask; (right) masked image.

The main advantage of the developed mask process is its speed, because it is a semiautomatic procedure relying on ML classification and GIS operations. ArcGIS steps could be implemented in “ModelBuilder” (an ArcGIS tool for creating automated workflows), or a Python script (a free, open-source programming language, implemented in ArcGIS) for further automation. Also some of the IMAGINE steps, like converting the mask to raster format, could be implemented in “ModelMaker” (an IMAGINE tool for creating automated workflows).

The main drawback of this process is that ML classification accuracy affects the mask; in fact the ML algorithm could misclassify clouds as urban surfaces, and vice versa, because of their spectral similarity.

### 3.2.3 Reflectance Conversion and Atmospheric Correction

SPOT system records reflected solar energy in the ranges of its 4 spectral bands. The Spectral Radiance at the sensor's aperture ( $L_\lambda$ ) is measured in [watts/(meter squared \* ster \*  $\mu\text{m}$ )] and is given

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by (from <http://www.astrium-geo.com/en/40-faq> , accessed 2012/05/18):

$$L_{\lambda} = DN /G + B \quad (1)$$

where G is the Gain and B is the Bias (offset) for each band, provided in the header file of each image.

Spectral Radiance can be converted to planetary reflectance ( $\rho_p$ ), through the normalization for solar irradiance (NASA, 2011):

$$\rho_p = (\pi * L_{\lambda} * d^2) / (ESUN_{\lambda} * \cos\theta_s) \quad (2)$$

where:

- $\rho_p$  = Unitless planetary reflectance;
- $L_{\lambda}$  = Spectral radiance at the sensor's aperture;
- $d$  = Earth-Sun distance in astronomical units from a spreadsheet file;
- $ESUN_{\lambda}$  = Mean solar exo-atmospheric irradiances;
- $\theta_s$  = Solar zenith angle in degrees, which is the reciprocal of the sun elevation angle.

The mean solar exo-atmospheric irradiances values for SPOT bands are reported in Table 1.

Table 1: SPOT Solar Spectral Irradiance (from Soudani et al., 2006)

Band	watts/(meter squared * $\mu\text{m}$ )
1	1843
2	1568
3	1052
4	233

In Table 2 are listed the values of Earth-Sun distance in astronomical units for every day of the year, as reported in a free spreadsheet file, provided by NASA (available from the url: [http://landsathandbook.gsfc.nasa.gov/excel\\_docs/d.xls](http://landsathandbook.gsfc.nasa.gov/excel_docs/d.xls) , accessed 2012/05/18).

Table 2: Earth-Sun distance (d) in astronomical units for Day of the Year (DOY)

DOY	d	DOY	d										
1	0.98331	61	0.99108	121	1.00756	181	1.01665	241	1.00992	301	0.99359	361	
2	0.9833	62	0.99133	122	1.00781	182	1.01667	242	1.00969	302	0.99332	362	
3	0.9833	63	0.99158	123	1.00806	183	1.01668	243	1.00946	303	0.99306	363	
4	0.9833	64	0.99183	124	1.00831	184	1.0167	244	1.00922	304	0.99279	364	
5	0.9833	65	0.99208	125	1.00856	185	1.0167	245	1.00898	305	0.99253	365	
6	0.98332	66	0.99234	126	1.0088	186	1.0167	246	1.00874	306	0.99228	366	
7	0.98333	67	0.9926	127	1.00904	187	1.0167	247	1.0085	307	0.99202		
8	0.98335	68	0.99286	128	1.00928	188	1.01669	248	1.00825	308	0.99177		
9	0.98338	69	0.99312	129	1.00952	189	1.01668	249	1.008	309	0.99152		
10	0.98341	70	0.99339	130	1.00975	190	1.01666	250	1.00775	310	0.99127		
11	0.98345	71	0.99365	131	1.00998	191	1.01664	251	1.0075	311	0.99102		
12	0.98349	72	0.99392	132	1.0102	192	1.01661	252	1.00724	312	0.99078		
13	0.98354	73	0.99419	133	1.01043	193	1.01658	253	1.00698	313	0.99054		
14	0.98359	74	0.99446	134	1.01065	194	1.01655	254	1.00672	314	0.9903		
15	0.98365	75	0.99474	135	1.01087	195	1.0165	255	1.00646	315	0.99007		
16	0.98371	76	0.99501	136	1.01108	196	1.01646	256	1.0062	316	0.98983		
17	0.98378	77	0.99529	137	1.01129	197	1.01641	257	1.00593	317	0.98961		
18	0.98385	78	0.99556	138	1.0115	198	1.01635	258	1.00566	318	0.98938		
19	0.98393	79	0.99584	139	1.0117	199	1.01629	259	1.00539	319	0.98916		
20	0.98401	80	0.99612	140	1.01191	200	1.01623	260	1.00512	320	0.98894		
21	0.9841	81	0.9964	141	1.0121	201	1.01616	261	1.00485	321	0.98872		
22	0.98419	82	0.99669	142	1.0123	202	1.01609	262	1.00457	322	0.98851		
23	0.98428	83	0.99697	143	1.01249	203	1.01601	263	1.0043	323	0.9883		
24	0.98439	84	0.99725	144	1.01267	204	1.01592	264	1.00402	324	0.98809		
25	0.98449	85	0.99754	145	1.01286	205	1.01584	265	1.00374	325	0.98789		
26	0.9846	86	0.99782	146	1.01304	206	1.01575	266	1.00346	326	0.98769		
27	0.98472	87	0.99811	147	1.01321	207	1.01565	267	1.00318	327	0.9875		
28	0.98484	88	0.9984	148	1.01338	208	1.01555	268	1.0029	328	0.98731		
29	0.98496	89	0.99868	149	1.01355	209	1.01544	269	1.00262	329	0.98712		
30	0.98509	90	0.99897	150	1.01371	210	1.01533	270	1.00234	330	0.98694		
31	0.98523	91	0.99926	151	1.01387	211	1.01522	271	1.00205	331	0.98676		
32	0.98536	92	0.99954	152	1.01403	212	1.0151	272	1.00177	332	0.98658		
33	0.98551	93	0.99983	153	1.01418	213	1.01497	273	1.00148	333	0.98641		
34	0.98565	94	1.00012	154	1.01433	214	1.01485	274	1.00119	334	0.98624		
35	0.9858	95	1.00041	155	1.01447	215	1.01471	275	1.00091	335	0.98608		
36	0.98596	96	1.00069	156	1.01461	216	1.01458	276	1.00062	336	0.98592		
37	0.98612	97	1.00098	157	1.01475	217	1.01444	277	1.00033	337	0.98577		
38	0.98628	98	1.00127	158	1.01488	218	1.01429	278	1.00005	338	0.98562		
39	0.98645	99	1.00155	159	1.015	219	1.01414	279	0.99976	339	0.98547		
40	0.98662	100	1.00184	160	1.01513	220	1.01399	280	0.99947	340	0.98533		
41	0.9868	101	1.00212	161	1.01524	221	1.01383	281	0.99918	341	0.98519		
42	0.98698	102	1.0024	162	1.01536	222	1.01367	282	0.9989	342	0.98506		
43	0.98717	103	1.00269	163	1.01547	223	1.01351	283	0.99861	343	0.98493		
44	0.98735	104	1.00297	164	1.01557	224	1.01334	284	0.99832	344	0.98481		
45	0.98755	105	1.00325	165	1.01567	225	1.01317	285	0.99804	345	0.98469		
46	0.98774	106	1.00353	166	1.01577	226	1.01299	286	0.99775	346	0.98457		
47	0.98794	107	1.00381	167	1.01586	227	1.01281	287	0.99747	347	0.98446		
48	0.98814	108	1.00409	168	1.01595	228	1.01263	288	0.99718	348	0.98436		
49	0.98835	109	1.00437	169	1.01603	229	1.01244	289	0.9969	349	0.98426		
50	0.98856	110	1.00464	170	1.0161	230	1.01225	290	0.99662	350	0.98416		
51	0.98877	111	1.00492	171	1.01618	231	1.01205	291	0.99634	351	0.98407		
52	0.98899	112	1.00519	172	1.01625	232	1.01186	292	0.99605	352	0.98399		
53	0.98921	113	1.00546	173	1.01631	233	1.01165	293	0.99577	353	0.98391		
54	0.98944	114	1.00573	174	1.01637	234	1.01145	294	0.9955	354	0.98383		
55	0.98966	115	1.006	175	1.01642	235	1.01124	295	0.99522	355	0.98376		
56	0.98989	116	1.00626	176	1.01647	236	1.01103	296	0.99494	356	0.9837		
57	0.99012	117	1.00653	177	1.01652	237	1.01081	297	0.99467	357	0.98363		
58	0.99036	118	1.00679	178	1.01656	238	1.0106	298	0.9944	358	0.98358		
59	0.9906	119	1.00705	179	1.01659	239	1.01037	299	0.99412	359	0.98353		
60	0.99084	120	1.00731	180	1.01662	240	1.01015	300	0.99385	360	0.98348		

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Atmospheric correction is required for SPOT images, before classification and change detection (Soudani, et al., 2006). As described by Zhang et al. (2010), land surface reflectance ( $\rho$ ) can be estimated by the following equation:

$$\rho = [\pi * (L_\lambda - L_p) * d^2] / (T_v * F_d) \quad (3)$$

where:

- $L_\lambda$  is the at-satellite radiance;
- $L_p$  is the path radiance;
- $d$  is the Earth–Sun distance in astronomical units;
- $T_v$  is the atmospheric transmittance in the viewing direction;
- $F_d$  is the irradiance received at the surface.

The irradiance received at the surface is expressed by:

$$F_d = E_b + E_{\text{down}} \quad (4)$$

where:

- $E_{\text{down}}$  is the downwelling diffuse irradiance;
- $E_b$  is the beam irradiance.

The beam irradiance is defined as:

$$E_b = ESUN_\lambda * \cos\theta_z * T_z \quad (5)$$

where:

- $ESUN_\lambda$  is the mean solar exo-atmospheric irradiances;
- $\theta_s$  is the solar zenith angle;
- $T_z$  is the atmospheric transmittance in the illumination direction.

As originally described by Moran et al. (1992) the reflectance equation to convert at-satellite radiances to surface reflectance, correcting for both solar and atmospheric effects, is:

$$\rho = [\pi * (L_\lambda - L_p) * d^2] / [T_v * ((ESUN_\lambda * \cos\theta_s * T_z) + E_{\text{down}})] \quad (6)$$

The Dark Object Subtraction (DOS) atmospheric correction is an image-based technique, therefore no in-situ measurements are required during image acquisition.

Chavez (1996) explains that “*the basic assumption is that within the image some pixels are in complete shadow and their radiances received at the satellite are due to atmospheric scattering (path radiance). This assumption is combined with the fact that very few targets on the Earth's surface are absolute black, so an assumed one-percent minimum reflectance is better than zero percent*”.

Assuming the existence of dark objects (surface reflectance  $\approx 0$ ), the minimum DN value is subtracted from all the pixels, removing the atmospheric effects on the entire image.

The path radiance, as described by Sobrino et al. (2004) is calculated as:

$$L_p = L_{\text{min}} - L_{\text{DO1\%}} \quad (7)$$

where:

- $L_{\text{min}}$  = “*radiance that corresponds to a digital count value for which the sum of all the pixels with digital counts lower or equal to this value is equal to the 0,01% of all the pixels from the image considered*” (Sobrino, et al., 2004, p. 437); therefore, the radiance obtained substituting that digital count value (DNmin) in eq. 1;
- $L_{\text{DO1\%}}$  = radiance of Dark Object, assumed to have a reflectance value of 0,01.

$L_{\text{min}}$  and  $L_{\text{DO1\%}}$  are expressed by the following equations:

$$L_{\text{min}} = (DN_{\text{min}} / G) + B \quad (8)$$

$$L_{DO1\%} = 0,01 * [(ESUN_{\lambda} * \cos\theta_s * T_z) + E_{down}] * T_v / (\pi * d^2) \quad (9)$$

The path radiance is obtained substituting eq. 8 and eq. 9 in eq. 7, resulting in the following equation:

$$L_p = (DN_{min} / G) + B - 0,01 * [(ESUN_{\lambda} * \cos\theta_s * T_z) + E_{down}] * T_v / (\pi * d^2) \quad (10)$$

There are many ways to calculate the variables:  $T_v$ ,  $T_z$  and  $E_{down}$ ; Song et al. (2001) compared various correction methods like DOS1, DOS2, DOS3 and DOS4, concluding that the best correction is provided by DOS3, but very similar result are performed by DOS1 model.

Because of DOS3 is a more complex correction method than DOS1, as it requires an atmospheric radiative transfer model in order to calculate the variables  $T_v$ ,  $T_z$  and  $E_{down}$  (Zhang, et al., 2010), in this study the DOS1 model (Chavez, 1996) is used.

DOS1 model assumes no atmospheric transmittance loss, and corrects for spectral band solar irradiance and solar zenith angle, resulting in:

- $T_v = 1$ ;
- $T_z = 1$ ;
- $E_{down} = 0$ .

Substituting these values of  $T_v$ ,  $T_z$  and  $E_{down}$  in eq.10, the path radiance results:

$$L_p = (DN_{min} / G) + B - 0,01 * ESUN_{\lambda} * \cos\theta_s / (\pi * d^2) \quad (11)$$

Therefore, substituting also in eq. 6 the values of  $T_v$ ,  $T_z$  and  $E_{down}$ , it results that the land surface reflectance for a SPOT image is:

$$\rho = [\pi * (L_{\lambda} - L_p) * d^2] / (ESUN_{\lambda} * \cos\theta_s) \quad (12)$$

where  $L_{\lambda}$  is defined by eq.1,  $L_p$  is defined by eq.11,  $d$  is calculated from Table 2,  $ESUN_{\lambda}$  is found from Table 1 and  $\cos\theta_s$  is the cosine of the solar zenith angle  $\theta_s$ , which is reported in the image metafile.

Reflectance values should range from 0 to 1, while values above or below this range (due to anomalies in the image) should be corrected using the following thresholds:

- If  $\rho < 0$  than  $\rho = 0$  ;
- If  $\rho > 1$  than  $\rho = 1$  .

### 3.2.4 Image Mosaic

The mosaic of SPOT images is a required step, in order to obtain a classification of the whole area of Dar es Salaam. In fact at least three SPOT images, acquired at the same time, are required because of the spatial extension of Dar es Salaam. Moreover, because of the cloud cover issue, it is necessary to mask clouds and their shadows, and therefore to mosaic several images for the purpose of obtaining a cloud free scene.

It is preferable, for radiometric compatibility, to mosaic images acquired very close together in time, or at least during the same season. In fact, the phenological state of vegetation varies considerably during the year, thereby changing the spectral response of vegetation. Image availability often does not allow for the mosaic of images acquired during the same month or even the same year.

If image availability constraints the mosaic of images acquired during several years, than it is preferable to choose images of the same month of those years.

If it is necessary to mosaic images acquired in several months, than a radiometric normalization is useful for adapting the histograms of each image in the mosaic, in order to reduce the differences caused by the state of vegetation phenology and atmospheric effects (Helmer & Rufenacht, 2007).

One of the drawback of image mosaic is to induce a higher spectral variability than single images, thereby affecting the classification process.

### 3.3 Processing

Data processing is the phase of image classification, which in this study is performed through several steps. Particularly, a supervised classification algorithm (Maximum Likelihood) is used for LC identification and a Knowledge-Base classification is used for improving the results through vegetation indices.

#### 3.3.1 Classification Algorithm

Classification algorithms are divided in supervised and unsupervised categories. The former requires training areas to be input by representing, in the image, the LC classes that are already known. The latter are based on a *posteriori* recognition of the classes, having no foreknowledge of their existence or names (Richards & Jia, 2006).

Training areas are created using specific software, which enables users to draw polygons over the image. Those areas have to be representative of the spectral characteristics of various classes, which will be processed by software to obtain class statistics (i.e. class signatures). Therefore, good image interpretation is required in order to consider the spectral variability within each class, and improve the algorithm results.

In order to improve the interpretation of images and highlight certain surfaces, it is useful to view a colour composite, produced by the combination of three individual monochrome images; a primary colour is assigned to each image, to create RGB colour composites (NASA, 2011) (see Appendix 1, SPOT Colour Composites).

The ML algorithm is one of the most used supervised classifiers for LCC studies (Huang, et al., 2009); it considers the Gaussian threshold stored in each class signature to assign a class to every image pixel.

ML classification assumes that the probability distributions of classes are in the form of multivariate normal models (Richards & Jia, 2006). The discriminant function, as described by Richards and Jia (2006), is:

$$g_i(x) = \ln p(\omega_i) - \frac{1}{2} \ln |\Sigma_i| - \frac{1}{2} (x - m_i)^t \Sigma_i^{-1} (x - m_i) \quad (13)$$

where:

- $\omega_i$  = class (where  $i = 1, \dots, M$  and  $M$  is the total number of classes);
- $x$  = pixel vector in  $n$ -dimension where  $n$  is the number of bands;
- $p(\omega_i)$  = probability that the correct class is  $\omega_i$  for a pixel at position  $x$  (if equal prior probabilities is assumed it can be omitted);
- $|\Sigma_i|$  = determinant of the covariance matrix of the data in class  $\omega_i$ ;
- $\Sigma_i^{-1}$  = inverse of the covariance matrix;
- $m_i$  = mean vector;

Therefore  $x \in \omega_i$  if:

$$g_i(x) > g_j(x) \text{ for all } j \neq i \quad (14)$$

The processing software applies the algorithm and classifies each pixel of image. Moreover, processing software allows for the application of thresholds to discriminant functions, so as to exclude pixels below those thresholds from classifications (Richards & Jia, 2006).

Therefore  $x \in \omega_i$  if eq. 14 is true and:

$$g_i(x) > T_i \quad (15)$$

where  $T_i$  is the threshold.

In particular, ERDAS IMAGINE allows for the application of a threshold, assuming a chi-square distribution.

The quality of LC classification is verified through the accuracy assessment, performed by checking the coherence between thematic map and reference data (ground truth), for a selected, preferably in a

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random fashion, sample of pixels (i.e. test pixels).

Classification accuracy is affected by many variables, concerning the preprocessing and processing steps. Particularly, for LC classifications, it has to be considered that urban landscapes are composite combinations of buildings, roads, grass, trees, soil, water, and so on (Lu, et al., 2011). Landscape heterogeneity, due to the diversity of materials or cover types, affects the spectral distinction of endmembers. Depending on the spatial resolution of data, isolated urban patches placed within vegetated landscape, as for peri-urban development, can be spectrally mixed in only one pixel (Shrestha & Conway, 2011). Therefore, it creates a mixed spectral signature, dependent on what percentage and type of materials are at ground (Brook & Davila, 2000). Moreover, a major difficulty due to spectral similarity is the identification of impervious surfaces. For example, the spectral distinction between white soil and white roofs, or bare soil and asphalted roads, can rely on soil type and materials used for construction, especially in urban areas (Weng, 2012).

### 3.3.2 Spectral Vegetation Indices

Vegetation Indices are standardized methods based on band ratios that highlight vegetation dynamics (Song, et al., 2001).

One of the most used indexes is the Normalized Difference Vegetation Index (NDVI) that is a combination of the reflectance of Red and Near Infrared (NIR) wavelengths (Walthall, et al., 2004), and is defined as:

$$NDVI = (\rho_{NIR} - \rho_{RED}) / (\rho_{NIR} + \rho_{RED}) \quad (15)$$

NDVI is unitless and ranges from -1.0 to 1.0. Healthy vegetation has NDVI values near to 1, while clouds or snow have values near 0 (Huang, et al., 2009); usually impervious surfaces range between 0.2 and 0.5.

In this study, NDVI is used to enhance classification process, particularly for vegetation, through the Knowledge-Base classification, as described in the following paragraph.

### 3.3.3 Knowledge-Base Classification

Knowledge-Base systems are tools for image classification and pattern recognition, which identify classes “through the explicit representation of prior knowledge about their spectral, morphological or topological characteristics. Such knowledge, acquired from a human specialist, can reduce significantly the demand for training patterns” (Costa, et al., 2010).

In this study, inputs of the Knowledge-Base classifications are: ML classification, NDVI, and Dar boundary shapefile. NDVI is used to enhance the classification of vegetation. Dar boundary is used to limit the LC classification to the administrative area.

The LC classification, focused on urban patterns, identifies 6 classes in the scene:

- “Continuous Urban”, a very dense urbanization class, identified by ML classification;
- “Discontinuous Urban”, a low density urbanization class characterized by mixed pixels of urban and vegetation or soil, and identified by ML classification;
- “Full Vegetation”, a vegetation class with:
  - $NDVI \geq NDVI_{max}$  ;
  - where  $NDVI_{max}$  is a threshold value identified in each scene, ranging between 0.6 and 0.7 (this range may vary depending on the season of image acquisition);
- “Most Vegetation”, a vegetation class, identified by ML classification or with:
  - $NDVI_{min} \geq NDVI > NDVI_{max}$  ;
  - where  $NDVI_{min}$  is a threshold value identified in each scene, ranging between 0.5 and 0.6 (this range may vary depending on the season of image acquisition);
- “Soil”, identified by ML classification;
- “Water”, identified by ML classification.

### 3.4 Results

The following paragraph shows the results of LC classification. LC classification was performed for the year 2011. Image availability did not allow for the LC classification of the whole Dar es Salaam surface: Temeke District was only partially classified. Moreover, cloud cover affecting most SPOT images, further limited the availability of usable images.

#### 3.4.1 Land Cover Classifications

The developed methodology allows for a very affordable and semi-automatic LC classification.

In this study, a LC map of Dar es Salaam (Ilala, Kinondoni, and only partially Temeke Districts) was performed for year 2011 (Figure 7), classifying two SPOT images acquired on 06/07/2011 (for better resolution see Appendix 2 – Land Cover Classification).

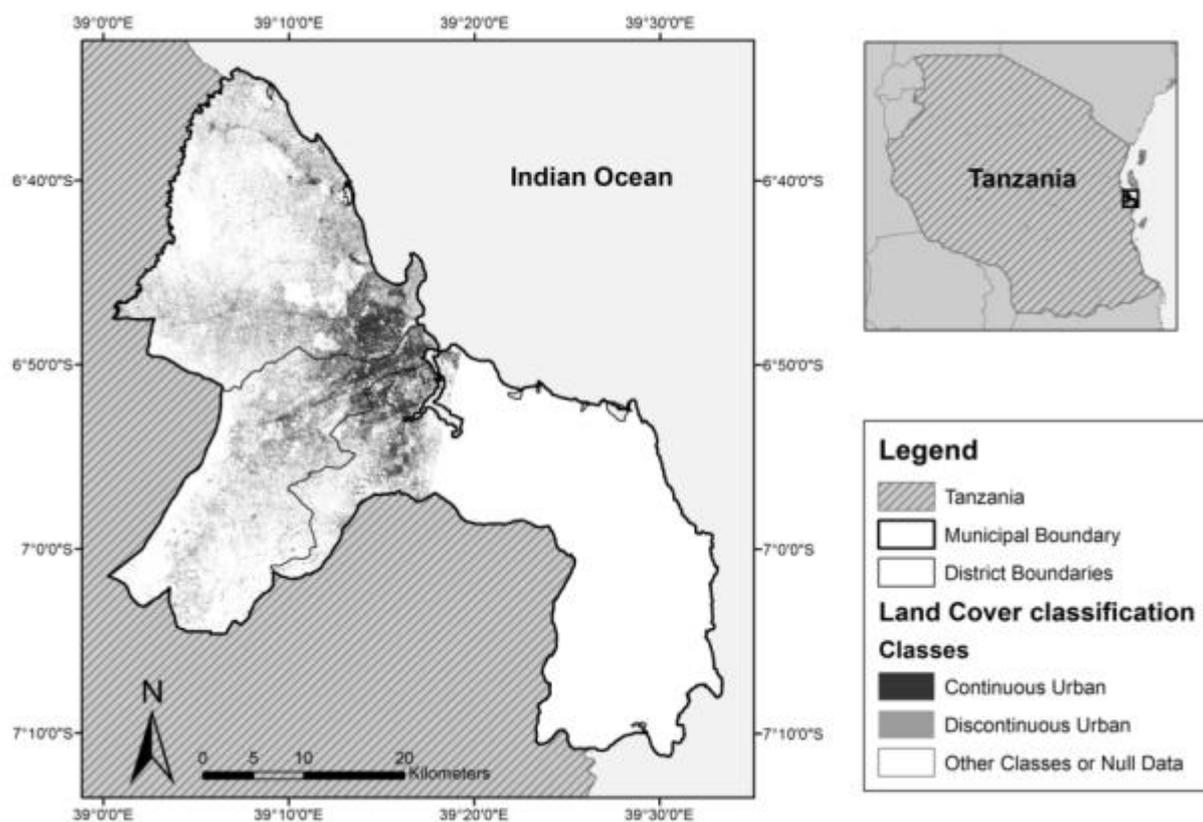


Figure 7: LC classification of SPOT mosaic, referred to 2011

The LC map shows that most “Continuous Urban” is located at the centre of the city, while most “Discontinuous Urban” has sprawled from the main roads.

The LC classification results are listed in Table 3 (offshore islands were not considered in this statistics) and Figure 8 shows a chart of LC classification.

Table 3: Statistics of LC classification of 2011

	Area[ha]	Area[%]
Continuous Urban	12212,8	11,80
Discontinuous Urban	17962,58	17,35
Soil	21242,84	20,52
Water	136,24	0,13
Full Vegetation	7099,74	6,86
Most Vegetation	44885,19	43,35

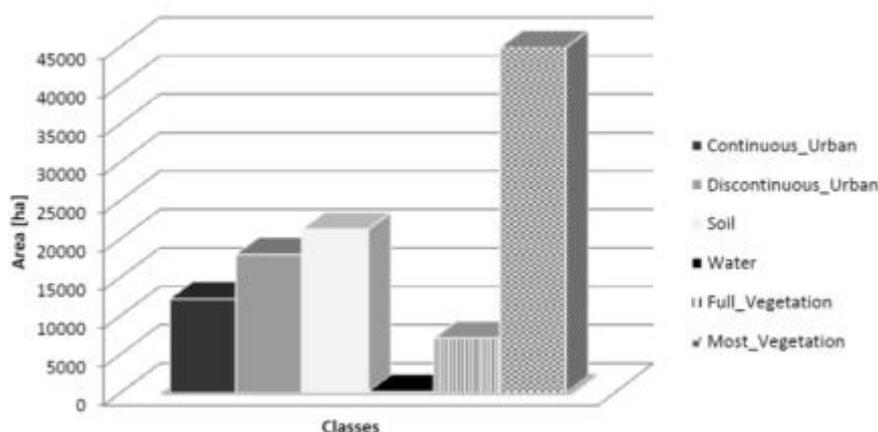


Figure 8: Chart of the LC classification of 2011

The clouds in the images were masked, but it was not possible to mosaic and fill cloud gaps, because of the limited availability of 2011 images (see Appendix 1, ESA SPOT Catalogue).

“Continuous Urban” is almost 12% and “Discontinuous Urban” is nearly 17%. It is relevant that urban (continuous and discontinuous) is almost 29% of the classified area, especially considering that LC classification does not cover the all Dar Region.

“Full Vegetation” and “Most Vegetation” cover almost a half of the classified area, and soil is almost 20%. It has to be considered that the phenological state of vegetation could affect the percentage of soil or vegetation during the year.

A comparison of SPOT classification with Landsat classification is described in another working paper: “Development of a Methodology for Assessing Land Cover Fragmentation”. However, considering the different spatial resolution, the results of LC classifications using Landsat and SPOT are quite similar.

## 4. Conclusions and Recommendations

The following sections describe the conclusions derived from this study and recommendations about future steps of the project implementation.

### 4.1 Conclusions

Dar es Salaam is affected by rapid unplanned urban expansion, especially on the coastal plane, due to population growth and migration (Kombe, 2005). Unplanned settlements are underserved and have environmental consequences that can increase vulnerability to CC effects (Paavola, 2008).

The objective of ACC Dar project is to enhance the capacity of Dar's municipalities in understanding CC issues specific to coastal areas, particularly to increase knowledge on autonomous adaptive capacity, and to develop methodologies for integrating adaptation activities into strategies and plans for UDEM.

LCC is caused by the interactions of various driving forces. Some of those forces are directly related to anthropogenic cause, like urbanization, migration, or land tenure systems. Other forces that influence LCC are related to environment, like climate, rainfall variability, soil and groundwater degradation, etc. (Olson, et al., 2004). The relationship between CC and changes in local LU/LC caused thereby is very complex (Lioubimtseva, et al., 2005), especially considering that CC is affected by many variables related to natural resources and socio-political situations (Lioubimtseva & Henebry, 2009). Therefore, assessing LCC is fundamental for improving knowledge about those complicated relationships.

Monitoring activities need to be affordable, quick and easy to update, especially for cities like Dar es Salaam, where LCC is very rapid.

The developed methodology aims to reduce vulnerability to CC by increasing adaptive capacity of Dar es Salaam's municipalities. In particular, municipalities using this methodology should be able to monitor LCC at a very low cost, and therefore adjust their plans according to those changes. This methodology, if implemented in a flexible planning framework, could help administrations in providing needed infrastructure and services to Dar's inhabitants. Moreover, constant monitoring of LC could aid the regulatory framework in considering environmental issues and avoiding areas particularly exposed to climatic hazards, when making land available to people.

Remote sensing techniques are very useful for assessing landscape patterns without in situ measurements. This study developed a methodology for LC classification, based on SPOT images. This methodology is similar to another one, developed by this ACC Dar project activity for LC classification based on Landsat images, which is described in the working paper "Development of a Methodology for Land Cover Classification in Dar es Salaam using Landsat Imagery".

One of the main goals of this methodology is to be affordable; therefore, only free images were used. SPOT images are delivered for free by the ESA (although some of them entail an extra cost), just as Landsat's are provided for free by the USGS. The choice to use only free images meant that the methodology costs were limited to the purchase of the commercial software for processing images.

Table 4 shows a comparison of SPOT and Landsat image characteristics (see Appendix 1 – SPOT Satellites and Appendix 3 – Landsat Satellite Characteristics).

Table 4: Comparison of the SPOT and Landsat characteristics of images acquired over Dar

Characteristics	SPOT	Landsat
<b>Image availability</b>	SPOT 4, 5 Archive (images acquired since 1998)	Landsat 4, 5, 7 Archive (images acquired since 1984)
<b>Cost</b>	Mostly free from ESA (some images have an extra cost of 400 Euro for data repatriation)	Free from USGS
<b>Image size</b>	60km x 60km	185km x 185km
<b>Image per classification</b>	3	1
<b>Spatial resolution</b>	10m	30m
<b>Spectral resolution</b>	4 multispectral + panchromatic	7 multispectral + panchromatic
<b>Cloud cover</b>	High for most of images	High for most of images

The main advantage of SPOT images is their spatial resolution, which allows for the identification of finer LC patterns than those identified by Landsat images. Obviously, the higher spatial resolution implies a higher amount of pixels to be classified for the same area, increasing processing time as compared to Landsat.

About 3 SPOT images, acquired at the same time, are required for each classification, because of image size and satellite orbit; therefore, SPOT has a higher number of images per classification than Landsat.

That number of required images can be a constraint for classifying the whole Dar area, because of the lack of availability (or usability, in terms of cloud cover) of images. Therefore, that constraint makes SPOT classification more difficult than Landsat one, and consequently LCC assessment could not be performed regularly.

The higher spatial resolution allows for finer LC classifications that, considering the limited image availability, are convenient for local studies.

Spectral resolution of SPOT images (4 bands) is lower than Landsat (7 bands). Particularly, SPOT bands quasi correspond to bands 2, 3, 4 and 5 of Landsat. Limited spectral resolution is one of the main constraints of LC classification, in terms of the number of classes that can be identified.

Cloud cover is high for most SPOT and Landsat images. However, the cloud masking process could not be the same for SPOT and Landsat, because of their different spectral resolutions. In particular, as SPOT images lack the thermal infrared band, an alternative approach was developed for cloud masking.

The developed methodology includes a semi-automatic and GIS based approach for clouds masking, which relies on ML classification and buffer distance from clouds' shadows.

A DOS model, which does not require in situ measurements, is used for reducing atmospheric effects on images, as a requirement before the mosaic step.

Another goal of this methodology, which is related to its affordability, is that it be quick and easy to update. For that reason, LC classification is semi-automatically performed in order to reduce the time and cost of LC map production, especially for a large area like the Dar es Salaam region.

The major problem of this LC classification is spectral similarity between white soil and white impervious surfaces, which can often lead to misclassification errors. The spectral resolution of SPOT (4 bands) is very good for photointerpretation, but is limited for semi-automatic classifications, because it is complicated to distinguish spectrally similar features.

The methodology for assessing the accuracy of LC classifications is described in the working paper "Development of a Methodology for Land Cover Classification Validation". The assessment of LC fragmentation was performed using LMI, and is described in the working paper "Development of a Methodology for Assessing Land Cover Fragmentation".

The ACC Dar project has planned training activities, to be held in Dar, about remote sensing and the use of the developed methodologies for LC monitoring. That should require little effort and it is expected to improve planning activities of Dar's municipalities. Those municipalities could update and integrate LC maps in GIS, combining the advantages of remote sensing and spatial analysis functions of GIS, in order to assess environmental priorities and plan needed infrastructure for inhabitants.

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## 4.2 Recommendations

The main goal of this LC monitoring methodology is to be affordable, in order to allow local government to frequently update LC data. Dar es Salaam has considerable importance in the Tanzanian economy and politics; therefore, people from all over the Country, searching for a job, are attracted by the opportunities it has to offer.

The developed methodology could also be useful to other Tanzanian administrations, for creating urban scenarios and coordinating all level policies of adaptation to CC, which could be structural (e.g. seawalls, levees), and non-structural (e.g. land use planning, insurance) (Levina, et al., 2007). LC monitoring could improve spatial planning in adopting short-term decisions for reducing vulnerability, especially if the planning framework is adequately flexible and consider uncertainty in long-term decisions (Hallegatte, 2009).

The developed methodology focused on urban sprawl patterns. According to remote sensing instruments, it could be possible to monitor other landscape features, for example crops or urban vegetation, which are very important for assessing urban vulnerability, and could help autonomous adaptation.

The methodology workflow could be updated in the near future, according to any feedback that may come from the training activities held in Dar. Moreover, after assessing LC classification accuracy, it will be possible to refine the methodology steps, redefine LC classes, and correct surfacing weakness of the workflow.

In order to improve identification of LC features, it would be useful to collect in field data about several LC typologies. That data could increase classification accuracy, by improving the processing step of training area collection.

This methodology should be updated according to forthcoming technologies, in order to increase affordability and accuracy level.

A general constraint of remote sensing applications applied to Dar es Salaam, is the cloud cover, because of the local climatic conditions. Therefore, any future development of this methodology should find the best automatic method for masking clouds from images.

One of the motivations to choose SPOT images is their free cost. Therefore, the only cost of the methodology, excluding work of operators, is the purchase of software for image processing. That cost could be further reduced, by replacing commercial software with free open-source alternatives. For instance, the methodology could be adapted to use GRASS GIS (<http://grass.osgeo.org/>, accessed 18/05/2012) and Quantum GIS (<http://www.qgis.org/>, accessed 18/05/2012) software for preprocessing and processing images, and InterIMAGE (<http://www.lvc.ele.puc-rio.br/projects/interimage/>, accessed 18/05/2012) for Knowledge-Base classification.

The methodology could be adapted, in the near future, to use new satellites' images. The ESA is going to launch two new Sentinel-2 satellites (the second of five missions called Sentinels) in 2013, which will provide "*enhanced continuity of SPOT- and Landsat-type data*" (from [http://www.esa.int/esaLP/SEMM4T4KXMF\\_LPgmes\\_0.html](http://www.esa.int/esaLP/SEMM4T4KXMF_LPgmes_0.html), accessed 18/05/2012).

Because of their spatial resolution, Landsat images are mainly suitable for regional monitoring (scale 1:100 000), while SPOT images are suitable for more local studies (scale 1:35 000), especially considering the limited image availability.

Recent advances in technologies showed the use of hyperspectral sensors (very high spectral resolution) on board of airplanes or Unmanned Aerial Vehicles, which can fly at low altitude and therefore acquire high spatial resolution images. That remote sensing technology has higher cost than satellite one (for the same surface acquired), but could be a valid alternative for local studies on specific areas (scale 1:10 000 or greater).

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## Appendix 1 – SPOT Satellites

SPOT satellites are designed and developed by the French space agency (CNES), and particularly SPOT 4 and SPOT 5 are still operational.

SPOT 4 was launched on March 1998, and it has a polar, circular, sun-synchronous orbit; it flies at an altitude of 822km at equator, and has a cycle of 26-day. The satellite acquires any given point of the Earth at the same local time (descending node at 10:30 a.m.) (from [http://www.astrium-geo.com/files/pmedia/public/r330\\_9\\_spot\\_orbit.pdf](http://www.astrium-geo.com/files/pmedia/public/r330_9_spot_orbit.pdf) , accessed 18/05/2012).

SPOT 5 was launched on May 2002, and it has the same orbit characteristics as SPOT 4.

### SPOT 4 Sensor

The SPOT 4 sensor is called High-Resolution Visible and Infrared (HRVIR) and consists of two identical instruments; it has 3 VNIR bands and 1 SWIR band, with spatial resolution of 20m. Moreover it has a panchromatic (monospectral) band with spatial resolution of 10m (Table 5) (from [http://earth.esa.int/pub/ESA\\_DOC/SPOT/Annex-5-1-2SPOTtechnicaldata.pdf](http://earth.esa.int/pub/ESA_DOC/SPOT/Annex-5-1-2SPOTtechnicaldata.pdf) , accessed 18/05/2012).

Table 5: HRVIR sensor spectral bands and resolutions

Electromagnetic spectrum	Pixel size [m]	Spectral bands [μm]
Monospectral	10	0.61 – 0.68
B1 : Green	20	0.50 – 0.59
B2 : Red	20	0.61 – 0.68
B3 : Near-Infrared (NIR)	20	0.78 – 0.89
B4 : Short-Wave Infrared (SWIR)	20	1.58 – 1.75

The swath width is 60km with 1 instrument, or 117km with 2 instruments. The sensor has oblique viewing capability of  $\pm 27^\circ$  from the vertical viewing (from [http://www.eoportal.org/directory/pres\\_SPOT4.html](http://www.eoportal.org/directory/pres_SPOT4.html) , accessed 18/05/2012).

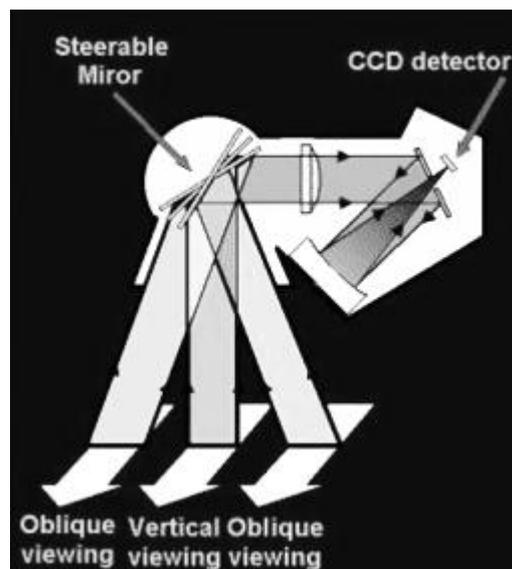


Figure 9: Schematic of the HRVIR oblique viewing capability

(from [http://www.eoportal.org/directory/pres\\_SPOT4.html](http://www.eoportal.org/directory/pres_SPOT4.html) , accessed 18/05/2012)

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“10-metre color products are obtained by overlaying two separate images acquired simultaneously by the HRVIR instrument, one in panchromatic mode at 10 m resolution and the other in multispectral mode at 20 m resolution. Because the camera is designed so that the two images register directly, generating a 10 m color image is relatively easy. The single image thus obtained is like a four-band, 10 m color product” (from [http://earth.esa.int/pub/ESA\\_DOC/SPOT/Annex-5-1-4Resolution-mode.pdf](http://earth.esa.int/pub/ESA_DOC/SPOT/Annex-5-1-4Resolution-mode.pdf) , accessed 18/05/2012).

## **SPOT 5 Sensor**

The SPOT 5 sensor is called High Resolution Geometric (HRG) and consists of two identical instruments; as shown in Table 6, it has 3 VNIR bands with spatial resolution of 10m and 1 SWIR band with spatial resolution of 20m. Moreover it has a panchromatic band with spatial resolution of 5m (or 2.5m if acquired in “Supermode”, which is a process for obtaining a 2.5m resolution image from two 5m resolution panchromatic images, acquired simultaneously) (from [http://earth.esa.int/pub/ESA\\_DOC/SPOT/Annex-5-1-2SPOTtechnicaldata.pdf](http://earth.esa.int/pub/ESA_DOC/SPOT/Annex-5-1-2SPOTtechnicaldata.pdf) , accessed 18/05/2012).

Table 6: HRG sensor spectral bands and resolutions

<b>Electromagnetic spectrum</b>	<b>Pixel size [m]</b>	<b>Spectral bands [µm]</b>
Panchromatic	2.5 or 5	0.48 – 0.71
B1 : Green	10	0.50 – 0.59
B2 : Red	10	0.61 – 0.68
B3 : Near-Infrared (NIR)	10	0.78 – 0.89
B4 : Short-Wave Infrared (SWIR)	20	1.58 – 1.75

As for SPOT 4, the swath width is 60km with 1 instrument, or 117km with 2 instruments. The sensor has oblique viewing capability of  $\pm 27^\circ$  from the vertical viewing (from [http://www.eoportal.org/directory/pres\\_SPOT4.html](http://www.eoportal.org/directory/pres_SPOT4.html) , accessed 18/05/2012).

“10-metre color products are derived from multispectral images acquired simultaneously in the same four spectral bands as Spot 4. Bands B1, B2 and B3 yield images at a resolution of 10 m; the SWIR band yields 20 m images, which are then resampled to obtain a 10 m image. Only one image therefore needs to be acquired” (from [http://earth.esa.int/pub/ESA\\_DOC/SPOT/Annex-5-1-4Resolution-mode.pdf](http://earth.esa.int/pub/ESA_DOC/SPOT/Annex-5-1-4Resolution-mode.pdf) , accessed 18/05/2012).

## **Spectral Bands in SPOT Products**

The spectral bands of SPOT images are (from <http://www.astrium-geo.com/en/40-faq> , accessed 18/05/2012):

- XS1 = Green;
- XS2 = Red;
- XS3 = Near-Infrared;
- XS4 = Short-Wave Infrared.

The SPOT images delivered by ESA are generally .TIF files, where the bands are ordered as shown in Table 7.

Table 7: SPOT spectral bands order in the .TIF file

<b>Spectral band</b>	<b>Band number in .TIF file</b>
XS1 (Green)	3
XS2 (Red)	2
XS3 (NIR)	1

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XS4 (SWIR)	4
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Therefore, a generic colour composite for displaying the NIR, Red and Green bands is RGB = 123.

### **SPOT Colour Composites**

Colour composite is the association of three of the available multispectral bands, with the three additive primary colours (Richards & Jia, 2006), which are: Red (R), Green (G), and Blue (B). Colour composites allow for the creation of colour images that are useful for image interpretation.

SPOT images do not have the blue band, and therefore it is not possible to create a natural colour composite. It is possible to reproduce a sort of natural colour composite through the combination of spectral bands (from [http://www.crisp.nus.edu.sg/~research/tutorial/opt\\_int.htm](http://www.crisp.nus.edu.sg/~research/tutorial/opt_int.htm) , accessed 18/05/2012):

- R = XS2;
- G = (3 XS1 + XS3) / 4;
- B = (3 XS1 - XS3) / 4.

Some of the possible colour composites, in RGB order, are (adapted from [http://grass.osgeo.org/gdp/html\\_grass64/i.oif.html](http://grass.osgeo.org/gdp/html_grass64/i.oif.html) , accessed 18/05/2012):

- XS3 XS2 XS1: composite very sensitive to green vegetation, which is depicted in red in the image, and coniferous are darker red than deciduous forests;
- XS4 XS3 XS2: green vegetation is depicted in green and the shortwave band shows vegetation stress.

### **ESA SPOT Catalogue**

Archived SPOT 4 and 5 images are available in the catalogues of ESA's Earth Observation data products; data are delivered free of charge to registered Category 1 users.

Category 1 is defined as:

*“Research and applications development use of data in support of the mission objectives, including research on long term issues of Earth System science, research and development in preparation for future operational use and ESA internal use. Data for Category 1 use are directly provided by ESA at cost of reproduction (unless waived by ESA Member States in an Announcement of Opportunity)”* (from [http://earth.esa.int/TPMDAG/data\\_policy.html](http://earth.esa.int/TPMDAG/data_policy.html) , accessed 18/05/2012).

The delivery is via ftp pickup, and some SPOT images have an extra cost of 400 Euro for data repatriation.

ESA's Earth Observation catalogues can be explored using “EOLI-SA”, a free standalone multi-platform program (Windows, Linux, MacOS X; it requires the Java Runtime Environment), which is available at <http://earth.esa.int/EOLi/EOLi.html> (accessed 18/05/2012). Images are downloadable via FTP, upon e-mail notification.

Table 8 shows a subset of SPOT images acquired over Dar es Salaam, which are available in the ESA's Earth Observation catalogues.

Table 8: SPOT images of Dar, available in ESA catalogue

Mission	Sensor	Acquisition date	Cloud cover	Extra cost
SPOT-4	HRVIR	29/05/1998 7.38	low	no
SPOT-4	HRVIR	29/05/1998 7.38	low	no
SPOT-4	HRVIR	29/05/1998 7.38	low	no
SPOT-4	HRVIR	29/05/1998 7.38	low	no
SPOT-4	HRVIR	17/07/2000 7.38	high	yes
SPOT-4	HRVIR	17/07/2000 7.38	high	yes
SPOT-4	HRVIR	17/07/2000 7.39	high	yes
SPOT-4	HRVIR	12/08/2000 7.38	high	yes
SPOT-4	HRVIR	12/08/2000 7.38	high	yes

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SPOT-4	HRVIR	12/08/2000 7.39	high	yes
SPOT-4	HRVIR	24/10/2000 7.34	high	yes
SPOT-4	HRVIR	24/10/2000 7.34	high	yes
SPOT-4	HRVIR	24/10/2000 7.35	high	yes
SPOT-4	HRVIR	29/03/2001 7.34	high	yes
SPOT-4	HRVIR	22/11/2001 7.56	high	yes
SPOT-4	HRVIR	22/11/2001 7.57	high	yes
SPOT-4	HRVIR	23/11/2001 7.37	high	yes
SPOT-4	HRVIR	23/11/2001 7.37	high	yes
SPOT-4	HRVIR	02/05/2002 7.59	high	yes
SPOT-4	HRVIR	02/05/2002 8.00	high	yes
SPOT-4	HRVIR	02/05/2002 8.00	high	yes
SPOT-4	HRVIR	18/06/2002 7.56	high	yes
SPOT-4	HRVIR	18/06/2002 7.56	high	yes
SPOT-4	HRVIR	23/06/2002 8.00	low	yes
SPOT-4	HRVIR	23/06/2002 8.00	low	yes
SPOT-4	HRVIR	13/06/2003 7.34	low	no
SPOT-4	HRVIR	13/06/2003 7.34	low	no
SPOT-4	HRVIR	30/08/2003 7.34	high	no
SPOT-4	HRVIR	30/08/2003 7.34	high	no
SPOT-4	HRVIR	30/08/2003 7.35	high	no
SPOT-4	HRVIR	25/09/2003 7.34	high	no
SPOT-4	HRVIR	25/09/2003 7.35	high	no
SPOT-4	HRVIR	25/09/2003 7.35	high	no
SPOT-4	HRVIR	04/03/2004 7.38	high	no
SPOT-4	HRVIR	25/03/2004 7.34	high	no
SPOT-4	HRVIR	25/03/2004 7.34	high	no
SPOT-4	HRVIR	25/03/2004 7.34	high	no
SPOT-4	HRVIR	29/04/2004 8.01	low	yes
SPOT-4	HRVIR	29/04/2004 8.01	low	yes
SPOT-4	HRVIR	29/04/2004 8.01	low	yes
SPOT-5	HRG	31/07/2004 7.46	low	no
SPOT-5	HRG	31/07/2004 7.46	low	no
SPOT-4	HRVIR	06/08/2004 7.58	low	yes
SPOT-4	HRVIR	06/08/2004 7.58	low	yes
SPOT-5	HRG	16/09/2004 7.42	high	no
SPOT-5	HRG	16/09/2004 7.42	high	no
SPOT-5	HRG	16/09/2004 7.42	high	no
SPOT-5	HRG	16/09/2004 7.42	high	no
SPOT-4	HRVIR	24/10/2004 7.39	high	no
SPOT-5	HRG	23/11/2004 7.35	high	no
SPOT-5	HRG	23/11/2004 7.35	high	no
SPOT-4	HRVIR	23/11/2004 8.03	high	yes
SPOT-5	HRG	27/11/2004 7.58	high	no
SPOT-5	HRG	27/11/2004 7.58	high	no
SPOT-4	HRVIR	10/06/2005 7.35	high	no
SPOT-4	HRVIR	10/06/2005 7.35	high	no
SPOT-4	HRVIR	10/06/2005 7.35	high	no
SPOT-4	HRVIR	15/07/2005 8.02	high	yes
SPOT-4	HRVIR	15/07/2005 8.02	high	yes
SPOT-4	HRVIR	15/07/2005 8.02	high	yes
SPOT-4	HRVIR	25/02/2006 7.33	high	no
SPOT-4	HRVIR	25/02/2006 7.33	high	no
SPOT-4	HRVIR	05/09/2006 7.42	high	yes
SPOT-4	HRVIR	05/09/2006 7.42	high	yes
SPOT-5	HRG	22/01/2007 7.38	high	no
SPOT-5	HRG	22/01/2007 7.38	high	no
SPOT-5	HRG	22/01/2007 7.39	high	no
SPOT-4	HRVIR	23/01/2007 7.50	high	yes
SPOT-4	HRVIR	23/01/2007 7.50	high	yes
SPOT-4	HRVIR	23/01/2007 7.50	high	yes
SPOT-4	HRVIR	01/03/2007 7.38	high	yes
SPOT-5	HRG	05/03/2007 7.30	high	no
SPOT-5	HRG	05/03/2007 7.30	high	no
SPOT-5	HRG	05/03/2007 7.31	high	no
SPOT-5	HRG	10/03/2007 7.34	high	no
SPOT-5	HRG	20/03/2007 7.42	high	no
SPOT-5	HRG	20/03/2007 7.42	high	no
SPOT-5	HRG	20/03/2007 7.42	high	no
SPOT-5	HRG	31/03/2007 7.30	high	no
SPOT-5	HRG	31/03/2007 7.30	high	no
SPOT-5	HRG	31/03/2007 7.30	high	no
SPOT-5	HRG	05/04/2007 7.34	high	no
SPOT-5	HRG	17/06/2007 7.29	low	no
SPOT-5	HRG	17/06/2007 7.29	low	no
SPOT-5	HRG	17/06/2007 7.29	low	no
SPOT-4	HRVIR	22/06/2007 8.04	low	yes
SPOT-4	HRVIR	22/06/2007 8.04	low	yes

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SPOT-4	HRVIR	29/07/2007 7.52	low	yes
SPOT-4	HRVIR	29/07/2007 7.53	low	yes
SPOT-5	HRG	04/11/2007 7.35	high	no
SPOT-5	HRG	04/11/2007 7.35	high	no
SPOT-5	HRG	04/11/2007 7.35	high	no
SPOT-5	HRG	19/11/2007 7.46	high	no
SPOT-5	HRG	19/11/2007 7.46	high	no
SPOT-5	HRG	19/11/2007 7.46	high	no
SPOT-5	HRG	20/11/2007 7.27	high	no
SPOT-5	HRG	20/11/2007 7.27	high	no
SPOT-5	HRG	20/11/2007 7.27	high	no
SPOT-5	HRG	24/11/2007 7.50	high	no
SPOT-5	HRG	24/11/2007 7.50	high	no
SPOT-5	HRG	24/11/2007 7.50	high	no
SPOT-5	HRG	05/12/2007 7.38	high	no
SPOT-5	HRG	05/12/2007 7.38	high	no
SPOT-5	HRG	31/12/2007 7.38	high	no
SPOT-5	HRG	31/12/2007 7.38	high	no
SPOT-5	HRG	31/12/2007 7.38	high	no
SPOT-5	HRG	16/02/2008 7.34	high	no
SPOT-5	HRG	16/02/2008 7.34	high	no
SPOT-5	HRG	08/03/2008 7.30	high	no
SPOT-5	HRG	08/03/2008 7.30	high	no
SPOT-5	HRG	13/03/2008 7.33	high	no
SPOT-5	HRG	13/03/2008 7.34	high	no
SPOT-5	HRG	25/06/2008 7.32	high	no
SPOT-5	HRG	25/06/2008 7.32	high	no
SPOT-5	HRG	25/06/2008 7.32	high	no
SPOT-5	HRG	05/09/2008 7.46	high	no
SPOT-5	HRG	05/09/2008 7.46	high	no
SPOT-5	HRG	06/09/2008 7.27	high	no
SPOT-5	HRG	06/09/2008 7.27	high	no
SPOT-5	HRG	27/09/2008 7.23	high	no
SPOT-5	HRG	27/09/2008 7.23	high	no
SPOT-5	HRG	27/09/2008 7.23	high	no
SPOT-5	HRG	17/10/2008 7.38	high	no
SPOT-5	HRG	17/10/2008 7.38	high	no
SPOT-5	HRG	17/10/2008 7.38	high	no
SPOT-5	HRG	23/10/2008 7.22	high	no
SPOT-5	HRG	23/10/2008 7.22	high	no
SPOT-5	HRG	23/10/2008 7.22	high	no
SPOT-5	HRG	28/10/2008 7.26	high	no
SPOT-5	HRG	28/10/2008 7.26	high	no
SPOT-5	HRG	28/10/2008 7.26	high	no
SPOT-5	HRG	12/11/2008 7.37	high	no
SPOT-5	HRG	12/11/2008 7.37	high	no
SPOT-5	HRG	12/11/2008 7.37	high	no
SPOT-5	HRG	17/11/2008 7.41	high	no
SPOT-5	HRG	17/11/2008 7.41	high	no
SPOT-5	HRG	17/11/2008 7.41	high	no
SPOT-5	HRG	18/11/2008 7.21	high	no
SPOT-5	HRG	18/11/2008 7.22	high	no
SPOT-5	HRG	18/11/2008 7.22	high	no
SPOT-5	HRG	23/11/2008 7.25	high	no
SPOT-5	HRG	23/11/2008 7.25	high	no
SPOT-5	HRG	23/11/2008 7.25	high	no
SPOT-5	HRG	13/12/2008 7.40	high	no
SPOT-5	HRG	13/12/2008 7.40	high	no
SPOT-5	HRG	13/12/2008 7.41	high	no
SPOT-5	HRG	08/01/2009 7.41	high	no
SPOT-5	HRG	08/01/2009 7.41	high	no
SPOT-5	HRG	08/01/2009 7.41	high	no
SPOT-5	HRG	09/01/2009 7.22	high	no
SPOT-5	HRG	09/01/2009 7.22	high	no
SPOT-5	HRG	14/01/2009 7.26	high	no
SPOT-5	HRG	19/01/2009 7.30	high	no
SPOT-5	HRG	23/01/2009 7.53	high	no
SPOT-5	HRG	23/01/2009 7.53	high	no
SPOT-5	HRG	29/01/2009 7.38	high	no
SPOT-5	HRG	29/01/2009 7.38	high	no
SPOT-5	HRG	29/01/2009 7.38	high	no
SPOT-5	HRG	19/02/2009 7.35	high	no
SPOT-5	HRG	07/03/2009 7.28	high	no
SPOT-5	HRG	07/03/2009 7.28	high	no
SPOT-5	HRG	07/03/2009 7.28	high	no
SPOT-5	HRG	12/03/2009 7.32	high	no
SPOT-5	HRG	12/03/2009 7.32	high	no
SPOT-5	HRG	12/03/2009 7.32	high	no

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SPOT-5	HRG	12/03/2009 7.32	high	no
SPOT-5	HRG	24/06/2009 7.34	low	no
SPOT-5	HRG	24/06/2009 7.34	low	no
SPOT-5	HRG	24/06/2009 7.34	low	no
SPOT-5	HRG	29/06/2009 7.38	high	no
SPOT-5	HRG	29/06/2009 7.38	high	no
SPOT-5	HRG	29/06/2009 7.38	high	no
SPOT-5	HRG	09/08/2009 7.51	high	no
SPOT-5	HRG	09/08/2009 7.51	high	no
SPOT-5	HRG	09/08/2009 7.51	high	no
SPOT-5	HRG	10/09/2009 7.36	high	no
SPOT-5	HRG	10/09/2009 7.36	high	no
SPOT-5	HRG	20/09/2009 7.44	high	no
SPOT-5	HRG	20/09/2009 7.44	high	no
SPOT-5	HRG	20/09/2009 7.44	high	no
SPOT-5	HRG	20/09/2009 7.44	high	no
SPOT-5	HRG	26/09/2009 7.28	high	no
SPOT-5	HRG	26/09/2009 7.29	high	no
SPOT-5	HRG	26/09/2009 7.29	high	no
SPOT-5	HRG	17/11/2009 7.29	low	no
SPOT-5	HRG	06/03/2010 7.35	high	no
SPOT-5	HRG	06/03/2010 7.35	high	no
SPOT-5	HRG	06/03/2010 7.35	high	no
SPOT-4	HRVIR	06/07/2011 7.08	low	no
SPOT-4	HRVIR	06/07/2011 7.08	low	no
SPOT-4	HRVIR	26/08/2011 7.24	high	no
SPOT-4	HRVIR	26/08/2011 7.25	high	no
SPOT-4	HRVIR	26/08/2011 7.25	high	no

## SPOT Preprocessing Levels

ESA delivers three SPOT preprocessing levels:

- Level 1A;
- Level 1B;
- Level 2A.

In this study, were used images processed with Level 2 A; particularly, for that level the characteristics are: (from [http://earth.esa.int/pub/ESA\\_DOC/SPOT/Annex-51-4Preprocessinglevels.PDF](http://earth.esa.int/pub/ESA_DOC/SPOT/Annex-51-4Preprocessinglevels.PDF) , accessed 18/05/2012):

- “Level 2A scenes are rectified to match a standard map projection (UTM WGS 84), without using ground control points. Level 2A is the entry-level map product. For Spot 1 through Spot 4, the mean rectification elevation is constant across the scene. For Spot 5, a global DEM with a post spacing of one kilometre is used. Geometric corrections use a resampling model that compensates for systematic distortion effects and performs transformations needed to project the image in a standard map projection (UTM WGS 84). This model is based on known viewing parameters (satellite ephemeris data and attitude, etc.) and does not use external measurements. Other map projections or mean rectification elevations are available on request”; “For a scene with constant elevation, location accuracy is the same as level 1B, i.e., better than 350 metres (1  $\sigma$ ) for Spot 1 through Spot 4 and better than 50 metres (1  $\sigma$ ) for Spot 5”.

## **Appendix 2 – Land Cover Classification**

This Appendix contains the figure representing the LC classification for year 2011.

2011

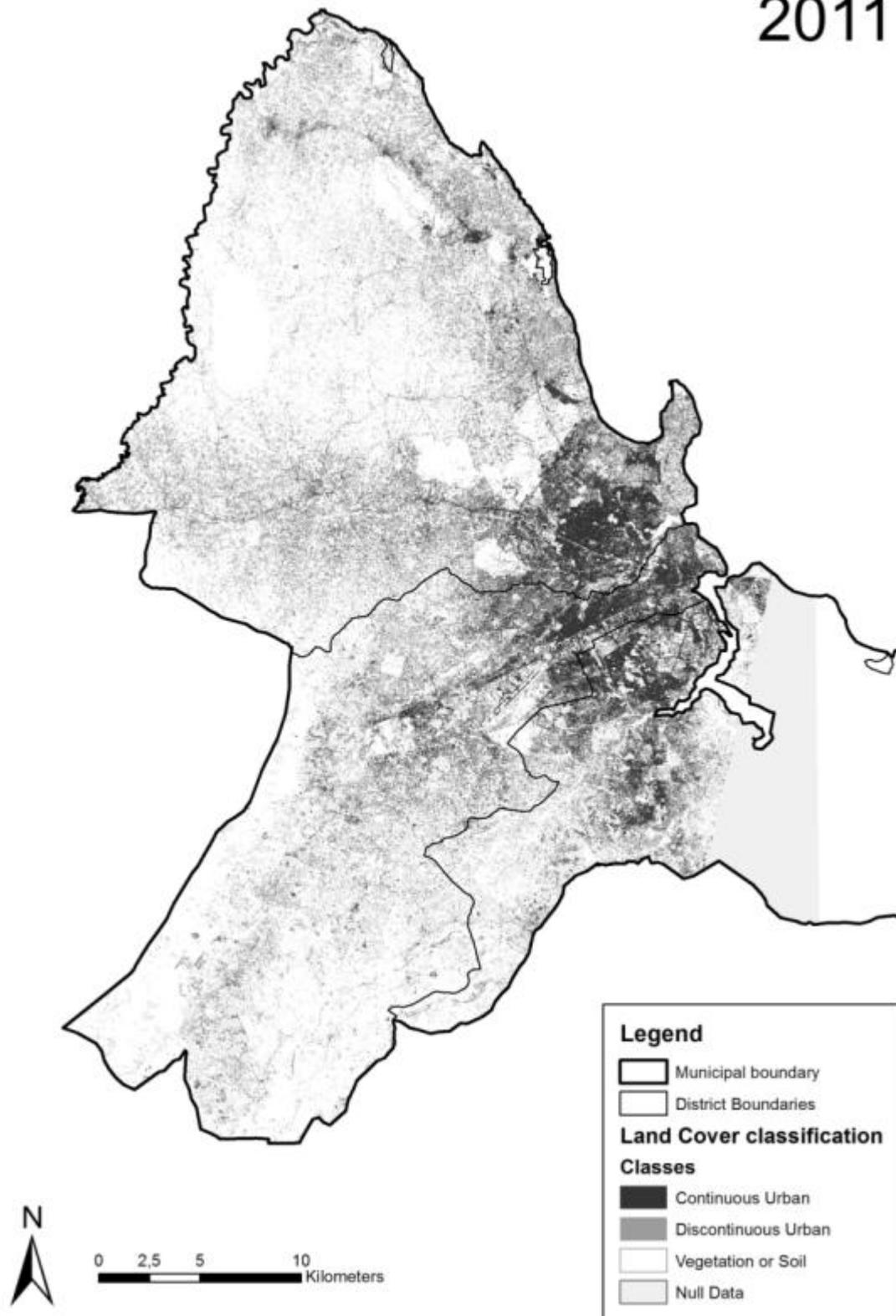


Figure 10: LC classification of SPOT mosaic referred to 2011

## Appendix 3 – Landsat Satellite Characteristics

Landsat is a family of satellites launched by the National Aeronautics and Space Administration (NASA) of USA, during the last 40 years.

Following are listed the spectral and spatial characteristics of Landsat images.

Landsat 4 and 5 were launched in 1984, and had the same sensor called the Thematic Mapper (TM). The sensor characteristics thereof are listed in Table 9.

Table 9: Landsat 4-5 Thematic Mapper (TM) sensor (NASA, 2011)

\* TM Band 6 is acquired at 120-meter resolution. Products processed after February 25, 2010 are resampled to 30-meter pixels.

	<b>Wavelength</b> [micrometres]	<b>Resolution</b> [meters]
<b>Band 1</b>	0.45-0.52	30
<b>Band 2</b>	0.52-0.60	30
<b>Band 3</b>	0.63-0.69	30
<b>Band 4</b>	0.76-0.90	30
<b>Band 5</b>	1.55-1.75	30
<b>Band 6</b>	10.40-12.50	120* (30)
<b>Band 7</b>	2.08-2.35	30

Landsat 7 was launched in 1999 and has a sensor called Enhanced Thematic Mapper Plus (ETM+). Its sensor characteristics are displayed in Table 10.

Table 10: Landsat 7 ETM+ sensor (NASA, 2011)

\* ETM+ Band 6 is acquired at 60-meter resolution. Products processed after February 25, 2010 are resampled to 30-meter pixels.

	<b>Spectral Response</b>	<b>Wavelength</b> [micrometres]	<b>Resolution</b> [meters]
<b>Band 1</b>	Blue-Green	0.45-0.52	30
<b>Band 2</b>	Green	0.52-0.60	30
<b>Band 3</b>	Red	0.63-0.69	30
<b>Band 4</b>	Near IR	0.77-0.90	30
<b>Band 5</b>	Mid-IR	1.55-1.75	30
<b>Band 6</b>	Thermal IR	10.40-12.50	60* (30)
<b>Band 7</b>	Mid-IR	2.09-2.35	30
<b>Band 8</b>	Pan	0.52-0.90	15